



# Algorithms Theory

## 13 – Bin Packing

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# Bin packing

1. Problem definition and general observations
2. Approximation algorithms for the online bin packing problem
3. Approximation algorithms for the offline bin packing problem

# Problem definition

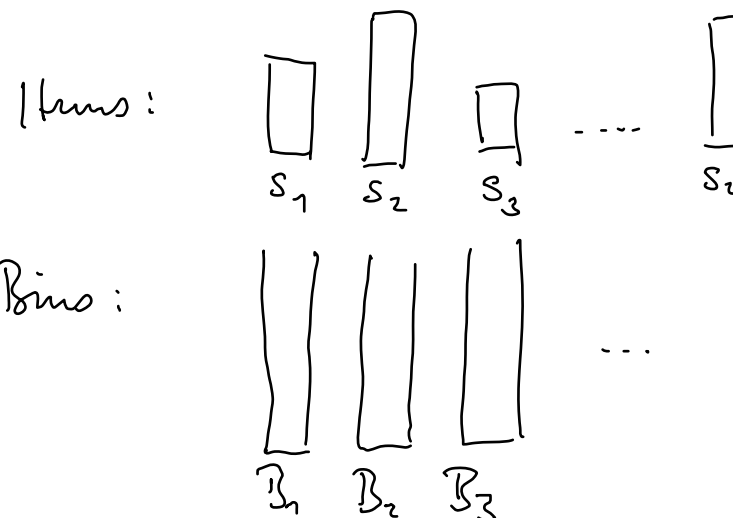
Bin Packing

## Given:

$n$  items with sizes

$s_1, \dots, s_n$

where  $0 \leq s_i \leq 1$  for  $1 \leq i \leq n$ .

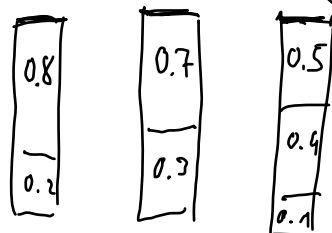


## Goal:

Pack items into a minimum number of unit-capacity bins.

## Example:

7 items with sizes 0.2, 0.5, 0.4, 0.7, 0.1, 0.3, 0.8



# Problem definition

## Online bin packing:

Items arrive one by one. Each item must be assigned immediately to a bin, without knowledge of any future items. Reassignment is not allowed.

## Offline bin packing:

All  $n$  items are known in advance, i.e. before they have to be packed.

# Observations

- Bin packing is provably hard.  
(Offline bin packing is NP-hard.  
Decision problem is NP-complete.)
- There exists no online bin packing algorithm that always finds an optimal solution.

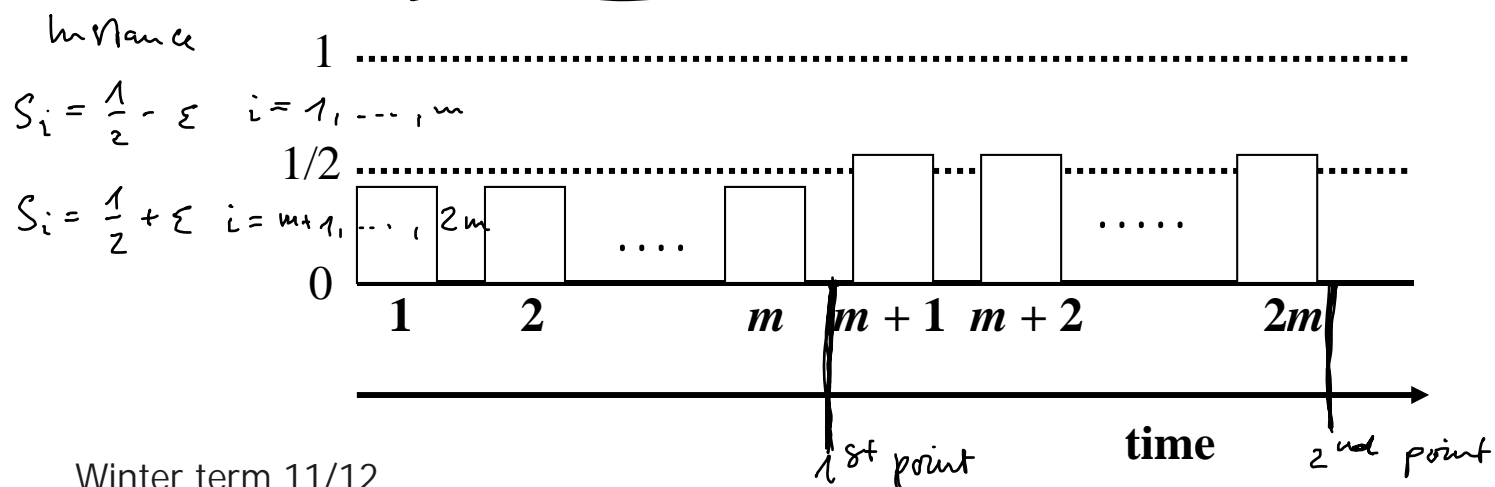
# Online bin packing

## Theorem 1:

There are inputs that force each online bin packing algorithm to use at least  $4/3 OPT$  bins where  $OPT$  is the minimum number of bins possible.

**Proof:** *By contradiction*

Assumption: online bin packing algorithm A always uses less than  
 $4/3 OPT$  bins



# Online bin packing

1st point of time:



$OPT = m/2$  and  $\#bins(A) = \widehat{b}$   
 by assumption:  $b \leq 4/3 \cdot m/2 = 2/3 m$

$b < 2/3 \cdot m$

Let  $b = b_1 + b_2$ , with

$\widehat{b}_1 = \#bins$  containing one item  
 $\widehat{b}_2 = \#bins$  containing two items

$m = b_1 + 2 \cdot b_2$

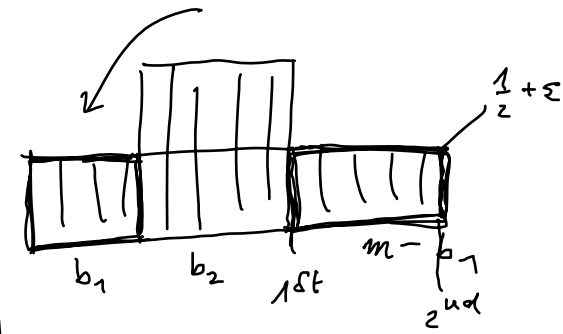
There is:  $b_1 + 2 b_2 = m$ , i.e.  $b_1 = m - 2b_2$

$b_1 = m - 2 b_2$

Hence:  $b = \underline{b_1 + b_2} = m - b_2$  (\*)

# Online bin packing

2nd point of time:



$$OPT = \widehat{m}$$

$$b = b_1 + b_2$$

$$\#bins(A) \geq \underline{b} + \underline{m - b_1} = m + b_2$$

$$\text{Assumption: } m + b_2 \leq \#bins(A) \leq 4/3m \quad | - m$$

$$\Rightarrow b_2 \leq m/3$$

$$\Rightarrow \text{using } (*) : \widehat{b} = m - b_2 > \widehat{2/3m}$$

$$b = m - b_2$$





# Online bin packing

## Next Fit (NF), First Fit (FF), Best Fit (BF)

### Next Fit:

Assign an arriving item to the same bin as the preceding item. If it does not fit, open a new bin and place it there.

### Theorem 2:

(a) For all input sequences  $I$ :

$$NF(I) \leq 2 \cdot OPT(I). \quad \checkmark$$

(b) There exist input sequences  $I$  such that:

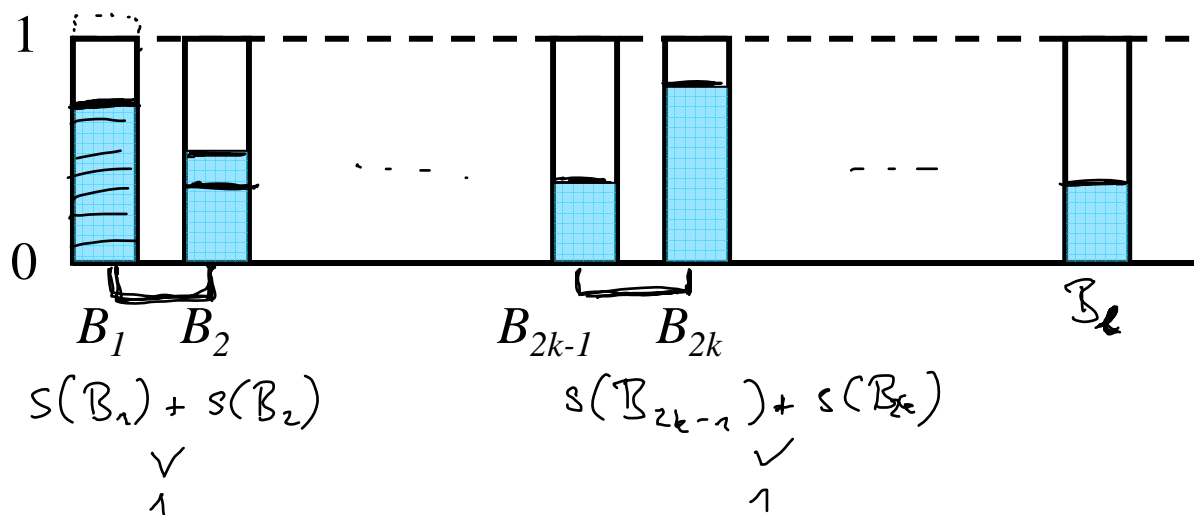
$$NF(I) \geq 2 \cdot OPT(I) - 2. \quad \checkmark$$

# Next Fit

**Proof:** (a)

Consider two bins  $B_{2k-1}, B_{2k}, \quad 2k \leq NF(I)$ .

Solution of NF



$$\begin{aligned} \text{OPT}(I) &= \left\lceil \sum_{i=1}^m s_i \right\rceil \\ &= \left\lceil \sum_{k=1}^{\lfloor l/2 \rfloor} s(B_{2k-1}) + s(B_{2k}) + s(B_e) \right\rceil \\ &\geq \left\lceil \sum_{k=1}^{\lfloor l/2 \rfloor} 1 + s(B_e) \right\rceil \\ &\geq l/2 = NF(I) / 2 \quad | \cdot 2 \end{aligned}$$

$$\Rightarrow NF(I) \leq 2 \cdot \text{OPT}(I) \quad \square$$

# Next Fit

## Proof: (b)

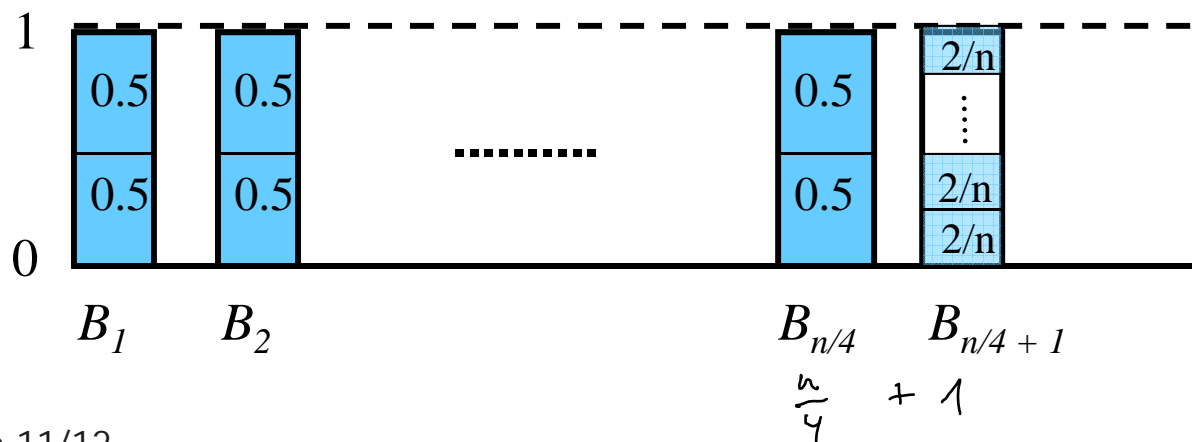
Consider an input sequence  $I$  of length  $n$   
 ( $n \equiv 0 \pmod{4}$ ):

$0.5, 2/n, 0.5, 2/n, 0.5, \dots, 0.5, 2/n$

$$\frac{n}{2} \times \frac{1}{2}$$

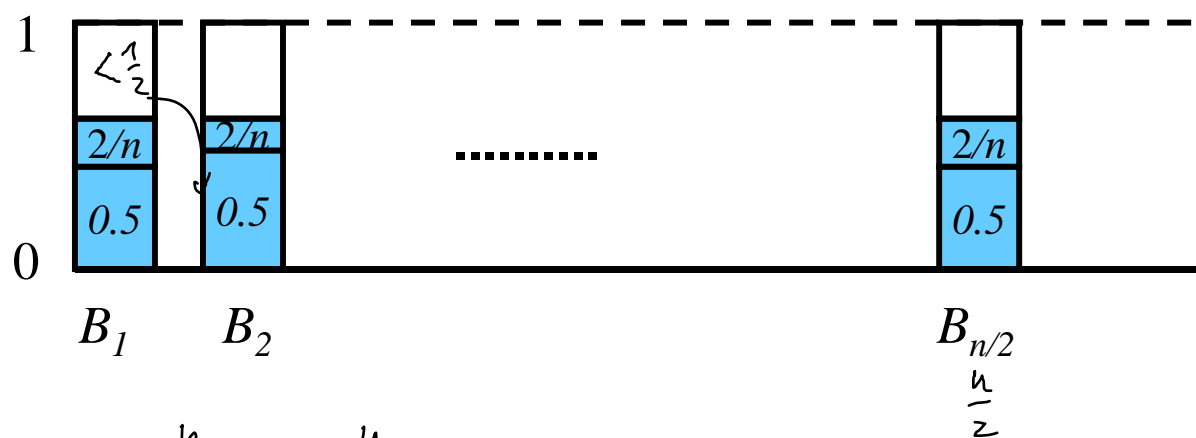
$$\frac{n}{2} \times \frac{2}{n}$$

Optimal packing:



# Next Fit

Next Fit yields:



$$NF(I) = \frac{n}{2} = \frac{n}{2} + 2 - 2 = 2 \cdot OPT(I) - 2$$

$$OPT(I) = \frac{n}{4} + 1$$

