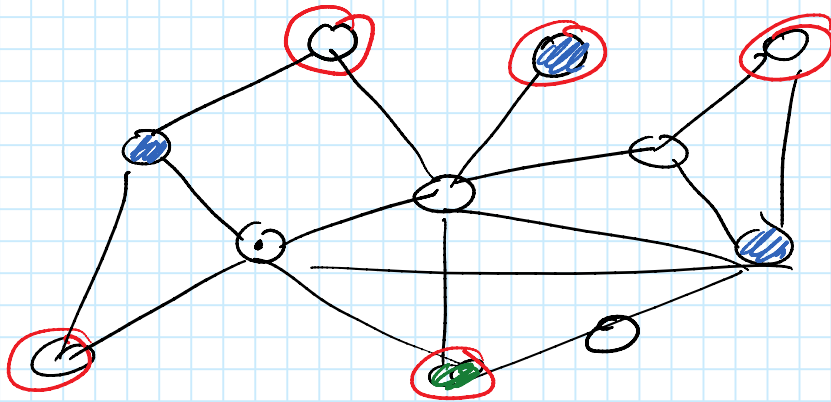


# Maximal Independent Sets

Thursday, July 3, 2014

9:01 AM

- classical distr. graph alg.
- first randomized alg.



independent set : set of nodes

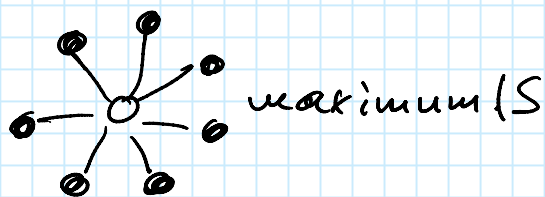
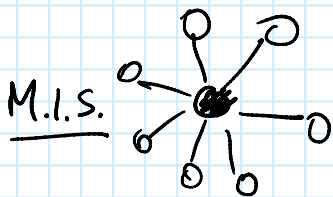
where no two are connected by an edge

maximal ind. set (MIS) : cannot be extended

maximum ind. set : ind. set of max. cardinality

NP-hard to find  $n^{1/2-\epsilon}$ -approximation

maximal I.S. vs. maximum I.S.



Goal: distributed algorithm to compute MIS

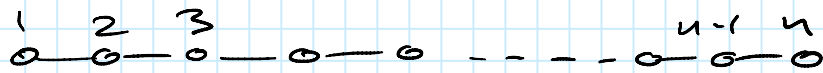
## Distr. MIS Alg.

Nodes have IDs

Rule:  $v$  has smallest ID among undecided neighbors,  
 $v$  joins MIS

↳ neighbors of  $v$  don't join MIS

Time complexity:  $O(n)$



If done carefully,  $O(n)$  messages.

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If we are given a  $C$ -coloring of  $G$

each node has a color  $\in \{1, \dots, C\}$

⇒ do the same thing using colors (instead of IDs)

⇒ time complexity:  $O(C)$

In rooted trees, ring, bounded degr. graphs

↳  $O(1)$ -coloring in  $O(\log^2 n)$  rounds

⇒ MIS in  $O(\log^2 n)$  rounds

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General graphs:

Idea: use randomization!

randomly pick some nodes

→ check whether they're adjacent

→ what probability to use?

→ tie-breaking

→ use random IDs

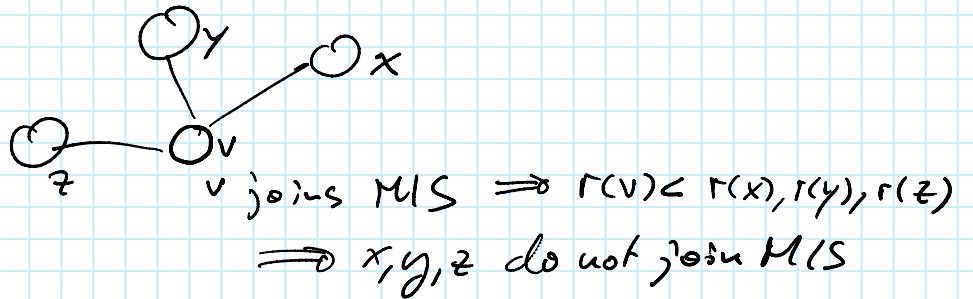
↳ computes an MIS in  $O(\log^2 n)$  rounds

We use random IDs, but  
pick new random IDs in every iteration/phase

Phase:

- 1) Every undec. node  $v$  picks random  $r(v) \in [0, 1]$   
 $v$  sends  $r(v)$  to all neighbors
- 2) If  $r(v) < r(w)$  for all undec. neighbors  $w$ ,  
 $v$  joins MIS, inform neighbors
- 3) If some neighb. joined the MIS,  $v$  becomes dec.

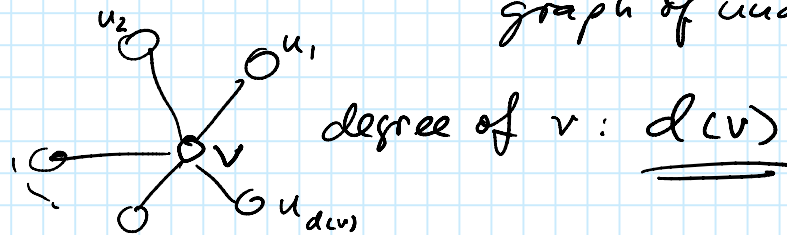
Correct:



Not clear: running time

Probability that node  $v$  joins MIS in one phase

$\Rightarrow$  at beginning of phase:  $G = (V, E)$   
graph of undec. nodes



$\{v, u_1, \dots, u_{d(v)}\}$

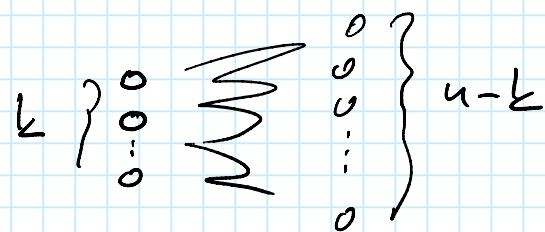
$$P_i(v \text{ joins MIS}) = \frac{1}{d(v) + 1}$$

want to show:

$\Theta(\log n)$  phases

A const. fraction of the nodes get decided?

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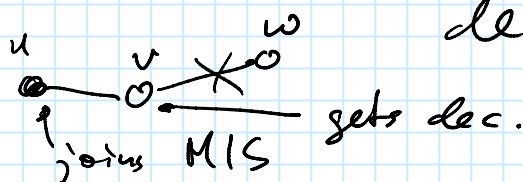
roughly  $\frac{n}{k}$  nodes that join MIS

$\Rightarrow$  # nodes that get decided  $\Theta\left(\frac{n}{k}\right)$

A const. fraction of the edges gets elim. in each phase.

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edge eliminated: if one of its nodes is decided



Random variables  $X_1, X_2, \dots, X_n$

$$X = X_1 + X_2 + \dots + X_n$$

$$\Rightarrow E[X] = E[X_1] + E[X_2] + \dots + E[X_n]$$

linearity of expectation

$$E[X+Y] = E[X] + E[Y]$$

$$E[X+Y] = \sum_{(X,Y)=(x,y)} \underbrace{\Pr((X,Y)=(x,y))}_{\Pr(X=x) \cdot \Pr(Y=y|X=x)} \cdot (x+y)$$

$$\begin{aligned} &= \Pr(X=x) \cdot \Pr(Y=y | X=x) \\ &= \Pr(Y=y) \cdot \Pr(X=x | Y=y) \end{aligned}$$

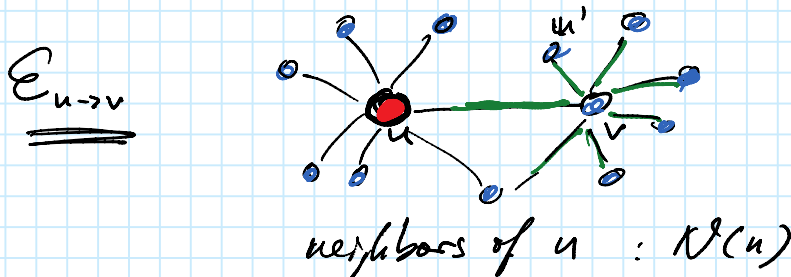


$$\begin{aligned}
\underline{E[X+Y]} &= \sum_{(X,Y)=(x,y)} P_0((X,Y)=(x,y)) \cdot (x+y) \\
&= \sum_x \sum_y P_r(X=x) \cdot P_r(Y=y|X=x) \cdot x \\
&\quad + \sum_y \sum_x P_0(Y=y) \cdot P_r(X=x|Y=y) \cdot y \\
&= \underbrace{\sum_x P_r(X=x) \cdot x}_{E[X]} \cdot \underbrace{\sum_y P_r(Y=y|X=x)}_{=1} \\
&\quad + \underbrace{\sum_y P(Y=y) \cdot y}_{E[Y]} \cdot \underbrace{\sum_x P_r(X=x|Y=y)}_{=1} \\
&= \underline{E[X] + E[Y]}
\end{aligned}$$

$R$  : # edges removed (elim.)

For every edge  $\{u,v\}$  introduce two events

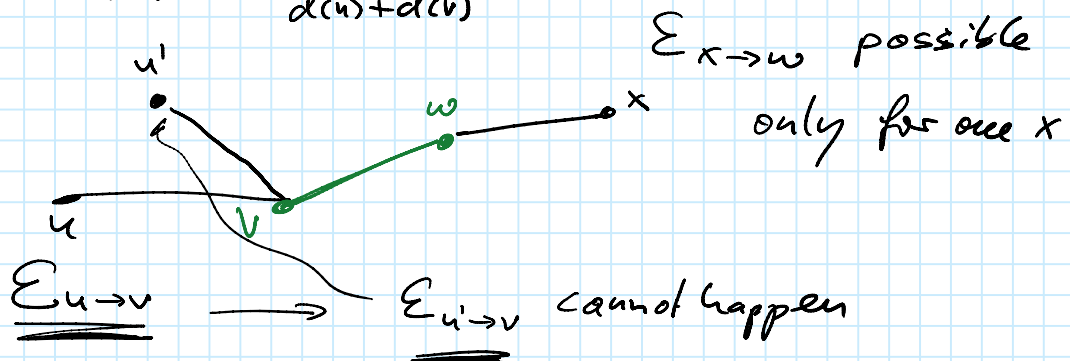
$E_{u \rightarrow v}$  and  $E_{v \rightarrow u}$



$u$  joins MIS :  $\forall w \in N(u) : r(u) < r(w)$

$E_{u \rightarrow v}$  :  $\forall w \in N(u) \cup N(v) \setminus \{u,v\} : r(u) < r(w)$

$$P_r(E_{u \rightarrow v}) \geq \frac{1}{d(u)+d(v)}$$



Random var.

$$X_{u \rightarrow v} = \begin{cases} d(v) & \text{if } E_{u \rightarrow v} \text{ happens} \\ 0 & \text{otherwise} \end{cases}$$

$$X = \sum_{\{u,v\} \in E} X_{u \rightarrow v} + X_{v \rightarrow u}$$

Claim:  $R \geq X/2$

Proof: count every edge at most twice

$$E[R] \geq \frac{E[X]}{2}$$

$$E[X] = \sum_{\{u,v\} \in E} (E[X_{u \rightarrow v}] + E[X_{v \rightarrow u}])$$

lin. of  
exp.

$$= \sum_{\{u,v\} \in E} (\Pr(E_{u \rightarrow v}) \cdot d(v) + \Pr(E_{v \rightarrow u}) \cdot d(u))$$

$$\geq \sum_{\{u,v\} \in E} \underbrace{\frac{d(v)}{d(u)+d(v)} + \frac{d(u)}{d(u)+d(v)}}_{=1} = |E|$$

$$\Rightarrow E[R] \geq \frac{|E|}{2}$$

Lemma: With prob.  $\geq \frac{1}{3}$ ,  
at least  $\frac{1}{4}$  of the edges sets eliminated

Proof!

To show:  $\Pr(R \geq \frac{|E|}{4}) \geq \frac{1}{3}$  assume this not true.

$$\begin{aligned}
 \underline{E[R]} &= \sum_{r=0}^{|E|} \Pr(R=r) \cdot r && \downarrow p < \frac{1}{3} \\
 &= \sum_{r \geq \frac{|E|}{4}} \Pr(R=r) \cdot r + \sum_{r < \frac{|E|}{4}} \Pr(R=r) \cdot r \\
 &< |E| \cdot \underbrace{\Pr(R \geq \frac{|E|}{4})}_p + \frac{|E|}{4} \cdot \underbrace{\Pr(R < \frac{|E|}{4})}_{1-p} \\
 &= |E| \left( \frac{1}{4} + \frac{3}{4} p \right) \\
 &< |E| \left( \frac{1}{2} \right) = \underline{\frac{|E|}{2}}
 \end{aligned}$$

phase is good if  $\geq \frac{1}{4}$  of edges are eliminated

$$\Pr(\text{phase good}) \geq \frac{1}{3}$$

$\Rightarrow$  for every phase, indep. of what happened before

need  $\underbrace{\log_{4/3}(u^2)}_{\Theta(\log u)}$  good phases

$T_i$  : # of phases until the first good phase after the  $(i-1)^{\text{st}}$  good phase

|  $\underbrace{BBG}_{T_1}$   $\underbrace{G}_{T_2}$   $\underbrace{BBBG}_{T_3}$   $\underbrace{BG}_{T_4}$  ...

#phases:

$$T = T_1 + \dots + T_{O(\log n)}$$

↑  
running time

$$\mathbb{E}[T] = \sum_i \mathbb{E}[T_i] = \underline{O(\log n)}$$

$$\mathbb{E}[T_i] \leq 3$$

Alg. terminates in  $O(\log n)$  phases (rounds) in expectation.

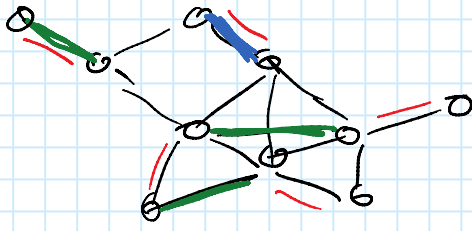
One can also show that for some const.  $\alpha > 0$

$$\Pr(T > \underline{c \cdot \alpha \cdot \log n}) < \frac{1}{n^c}$$

Alg. is succ. within  $c \cdot \alpha \cdot \log n$  phases with prob.  $\geq 1 - \frac{1}{n^c}$  (with high probability)

$c$  can be picked arbitrarily  
(affects const. in  $O(\cdot)$ )

# Maximal Matching



matching

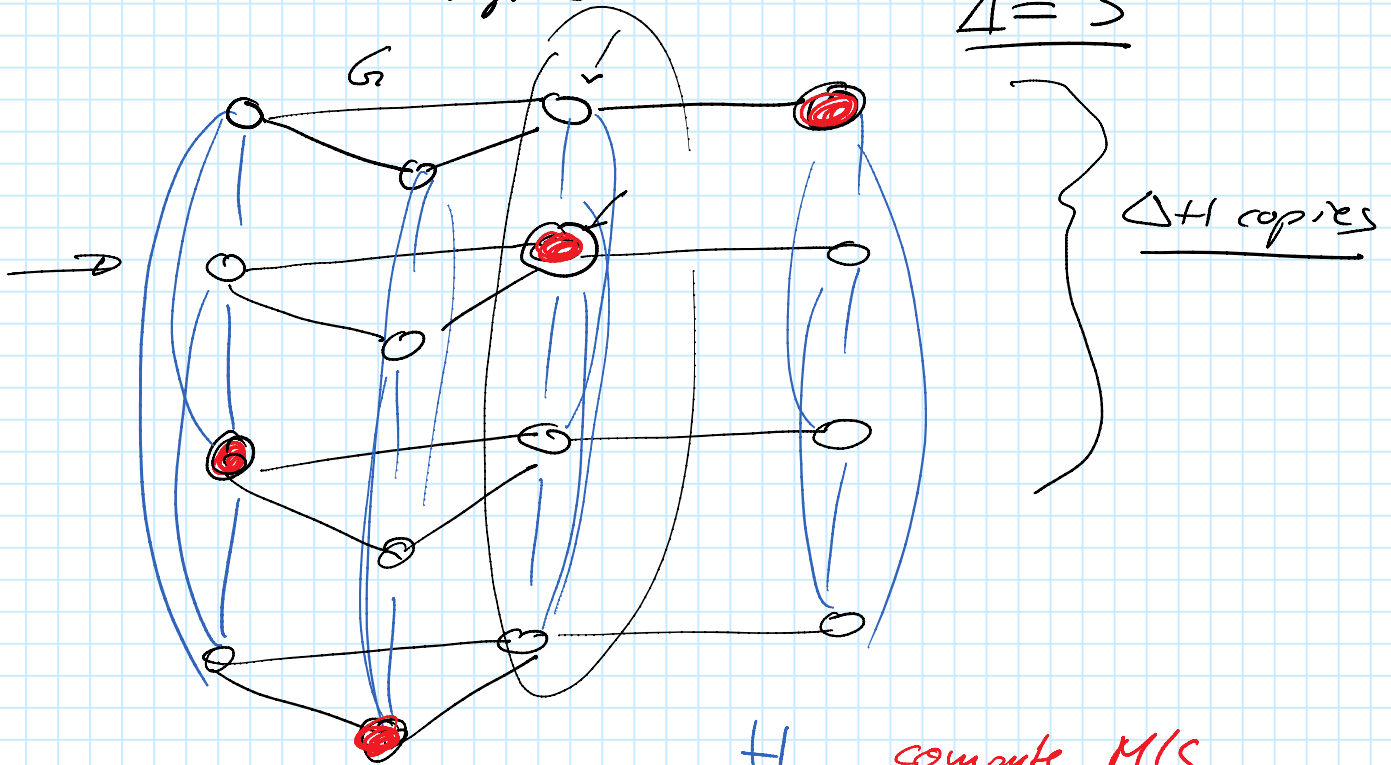
maximal matching

↖  
matching that cannot  
be extended

Can use MIS alg. to  
get a maximal matching in  $O(\log n)$  time

Coloring  $(\Delta + 1)$ -coloring  
↖  
max. degree

$$\underline{\Delta = 3}$$



H

compute MIS

at most one copy of  
each node of  $G$

at least one copy of each node of  $G$

⇒ exactly one copy of each node of  $G$   
gives the color

It can be simulated by  
a distr. alg. on  $G$

Nodes need to know  $\Delta$ ?

sufficient to use  $d(v)+1$  copies for node  $v$

$\Rightarrow (\Delta+1)$ -coloring in  $O(\log n)$  rounds

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MIS /  $(\Delta+1)$ -coloring

can be solved in  $O(\log n)$  time  
by using randomization

What about deterministic algorithms?

best known :  $2^{\Theta(\sqrt{\log n})} = n^{\frac{1}{\Theta(\sqrt{\log n})}}$   
 $\text{polylog} = 2^{O(\log \log n)}$

MIS lower bound

$$\Omega(\sqrt{\log n})$$