



# Theoretical Computer Science - Bridging Course

## Exercise Sheet 8

Due: Wednesday, 23rd of June 2021, 12:00 pm

### Exercise 1: Questions about class $\mathcal{NP}$

(6 Points)

- Give two equivalent definitions of the class  $\mathcal{NP}$ .
- Is the 3-CLIQUE problem from the previous sheet in class  $\mathcal{NP}$ ? Is it decidable? Justify.
- Is the halting problem in  $\mathcal{NP}$ ? Justify.

### Exercise 2: Proving Problems in $\mathcal{NP}$

(9 Points)

Show that the following problems are in the class  $\mathcal{NP}$

- A *clique* in a graph  $G = (V, E)$  is a set  $Q \subseteq V$  such that for all  $u, v \in Q : \{u, v\} \in E$ .  
Given a graph  $G$  and integer  $k$ , it is required to determine whether  $G$  contains a clique of size at least  $k$ , hence consider the following problem:  
 $\text{CLIQUE} := \{ \langle G, k \rangle \mid G \text{ has a clique of size at least } k \}$ .
- Given a collection  $S$  of integers  $x_1, \dots, x_k$  and a target  $t$ , it is required to determine whether  $S$  contains a sub-collection that adds up to  $t$ , hence consider the following problem:

$$\text{SUBSET-SUM} = \left\{ \langle S, t \rangle \mid S = \{x_1, \dots, x_k\}, \text{ and for some } \{y_1, \dots, y_l\} \subseteq \{x_1, \dots, x_k\} \text{ we have } \sum_i y_i = t \right\}$$

- A *hitting set*  $H \subseteq \mathcal{U}$  for a given universe  $\mathcal{U}$  and a set  $S = \{S_1, S_2, \dots, S_m\}$  of subsets  $S_i \subseteq \mathcal{U}$ , fulfills the property  $H \cap S_i \neq \emptyset$  for  $1 \leq i \leq m$  ( $H$  'hits' at least one element of every  $S_i$ ).  
Given a universe set  $\mathcal{U}$ , a set  $S$  of subsets of  $\mathcal{U}$ , and a positive integer  $k$ , it is required to determine whether  $\mathcal{U}$  contains a hitting set of size at most  $k$ , hence consider the following problem:  $\text{HITTINGSET} := \{ \langle \mathcal{U}, S, k \rangle \mid \text{universe } \mathcal{U} \text{ has subset of size } \leq k \text{ that hits all sets in } S \subseteq 2^{\mathcal{U}} \}$ .<sup>1</sup>

### Exercise 3: From $\mathcal{NP}$ to $\mathcal{NPC}$

(5 Points)

A language is called  $\mathcal{NP}$ -complete ( $\Leftrightarrow: L \in \mathcal{NPC}$ ), if

1.  $L \in \mathcal{NP}$  and
2.  $L$  is  $\mathcal{NP}$ -hard.

Recall what are  $\mathcal{NP}$ -hard problems and how to show a problem  $\mathcal{NP}$ -hard in the following.

<sup>1</sup>The power set  $2^{\mathcal{U}}$  of some ground set  $\mathcal{U}$  is the set of all subsets of  $\mathcal{U}$ . So  $S \subseteq 2^{\mathcal{U}}$  is a collection of subsets of  $\mathcal{U}$ .

- Language  $L$  is called  $\mathcal{NP}$ -hard, if *all* languages  $L' \in \mathcal{NP}$  are polynomially reducible to  $L$ , i.e.

$$L \text{ is } \mathcal{NP}\text{-hard} \iff \forall L' \in \mathcal{NP} : L' \leq_p L.$$

*Recall* let  $L_1, L_2$  be languages (problems) over alphabets  $\Sigma_1, \Sigma_2$ . Then  $L_1 \leq_p L_2$  ( $L_1$  is polynomially reducible to  $L_2$ ), iff a function  $f : \Sigma_1^* \rightarrow \Sigma_2^*$  exists, that can be calculated in polynomial time and

$$\forall s \in \Sigma_1^* : s \in L_1 \iff f(s) \in L_2.$$

- The reduction relation ' $\leq_p$ ' is transitive ( $L_1 \leq_p L_2$  and  $L_2 \leq_p L_3 \Rightarrow L_1 \leq_p L_3$ ). Therefore, in order to show that  $L$  is  $\mathcal{NP}$ -hard, it suffices to reduce a known  $\mathcal{NP}$ -hard problem  $\tilde{L}$  to  $L$ , i.e.  $\tilde{L} \leq_p L$ .

Given a graph  $G = (V, E)$ , an independent set of size  $k$  of  $G = (V, E)$  is a subset  $I \subseteq V$  of nodes, such that  $|I| = k$  and  $\{u, v\} \notin E$  for all  $u, v \in I$ .

Show that  $\text{INDEPENDENTSET} := \{\langle G, k \rangle \mid G \text{ is a simple graph and has an independent set of size at least } k\}$  is in  $\mathcal{NPC}$ . Use that the  $\text{CLIQUE}$  problem from the above exercise is in  $\mathcal{NPC}$ .

*Hint: For the poly. transformation ( $\leq_p$ ) you have to describe an algorithm (with poly. run-time!) that transforms an instance  $\langle G, k \rangle$  of  $\text{CLIQUE}$  into an instance  $\langle G', k' \rangle$  of  $\text{INDEPENDENTSET}$  s.t. a clique of size at least  $k$  in  $G$  becomes a independent set of  $G'$  of size at least  $k'$  and vice versa!.*