



Chapter 7 Randomization

Algorithm Theory WS 2017/18

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Types of Randomized Algorithms



Las Vegas Algorithm:

- always a correct solution
- running time is a random variable
- Example: randomized quicksort, contention resolution

Monte Carlo Algorithm:

- probabilistic correctness guarantee (mostly correct)
- fixed (deterministic) running time
- **Example:** primality test

Minimum Cut





Reminder: Given a graph G = (V, E), a cut is a partition (A, B)of V such that $V = A \cup B$, $A \cap B = \emptyset$, $A, B \neq \emptyset$

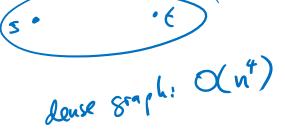
Size of the cut (A, B): # of edges crossing the cut

For weighted graphs, total edge weight crossing the cut

Goal: Find a cut of minimal size (i.e., of size $\lambda(G)$)

Maximum-flow based algorithm:

- Fix s, compute min s-t-cut for all $t \neq s$
- $O(m \cdot \lambda(G)) = O(mn)$ per s-t cut
- Gives an $O(mn\lambda(G)) = O(mn^2)$ -algorithm

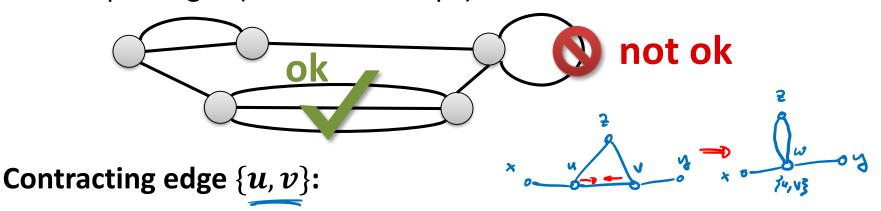


Best-known deterministic algorithm: $O(mn + n^2 \log n)^{-1}$

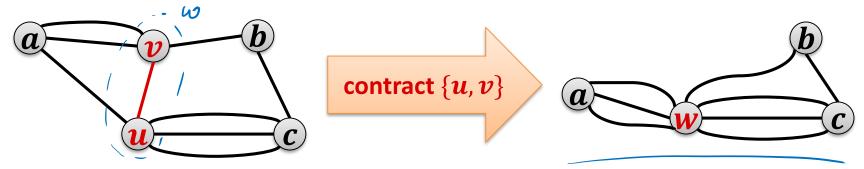
Edge Contractions



 In the following, we consider multi-graphs that can have multiple edges (but no self-loops)



- Replace nodes u, v by new node w
- For all edges $\{u, x\}$ and $\{v, x\}$, add an edge $\{w, x\}$
- Remove self-loops created at node w

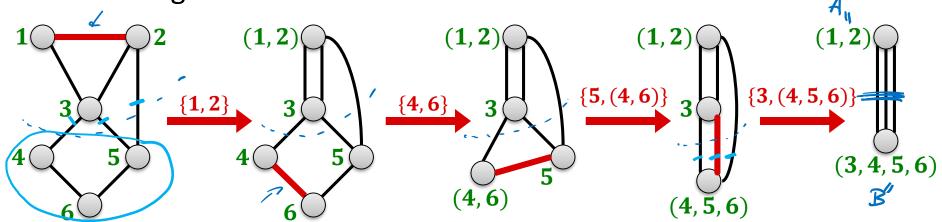


Properties of Edge Contractions



Nodes:

- After contracting $\{u, v\}$, the new node represents u and v
- After a series of contractions, each node represents a subset of the original nodes



Cuts:

- Assume in the contracted graph, w represents nodes $S_w \subset V$
- The edges of a node w in a contracted graph are in a one-to-one correspondence with the edges crossing the cut $(S_w, V \setminus S_w)$

Randomized Contraction Algorithm



Algorithm:

while there are > 2 nodes **do**

contract a uniformly random edge

return cut induced by the last two remaining nodes

(cut defined by the original node sets represented by the last 2 nodes)

Theorem: The random contraction algorithm returns a minimum cut with probability at least $1/O(n^2)$.

We will show this next.

Theorem: The random contraction algorithm can be implemented in time $O(n^2)$.

- There are n-2 contractions, each can be done in time O(n).
- We will see this later.

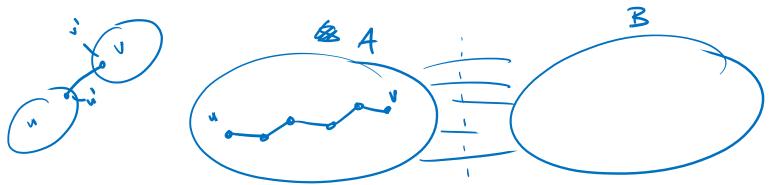
Contractions and Cuts



Lemma: If two original nodes $u, v \in V$ are merged into the same node of the contracted graph, there is a path connecting u and v in the original graph s.t. all edges on the path are contracted.



- Contracting an edge $\{x, y\}$ merges the node sets represented by x and y and does not change any of the other node sets.
- The claim the follows by induction on the number of edge contractions.



Contractions and Cuts



Lemma: During the contraction algorithm, the edge connectivity (i.e., the size of the min. cut) cannot get smaller.

Proof:

- All cuts in a (partially) contracted graph correspond to cuts of the same size in the original graph G as follows:
 - For a node u of the contracted graph, let S_u be the set of original nodes that have been merged into u (the nodes that u represents)
 - Consider a cut (A, B) of the contracted graph
 - -(A',B') with

$$A' \coloneqq \bigcup_{v \in A} S_v$$
, $B' \coloneqq \bigcup_{v \in B} S_v$

is a cut of G.

— The edges crossing cut (A, B) are in one-to-one correspondence with the edges crossing cut (A', B').

Contraction and Cuts



Lemma: The contraction algorithm outputs a cut (A, B) of the input graph G if and only if it never contracts an edge crossing (A, B).

Proof:

- 1. If an edge crossing (A, B) is contracted, a pair of nodes $u \in A$, $v \in V$ is merged into the same node and the algorithm outputs a cut different from (A, B).
- 2. If no edge of (A, B) is contracted, no two nodes $u \in A$, $v \in B$ end up in the same contracted node because every path connecting u and v in G contains some edge crossing (A, B)

In the end there are only 2 sets \rightarrow output is (A, B)



Theorem: The probability that the algorithm outputs a minimum cut is at least 2/(n(n-1)).

To prove the theorem, we need the following claim:

Claim: If the minimum cut size of a multigraph G (no self-loops) is k, G has at least kn/2 edges.

- Min cut has size $k \Longrightarrow$ all nodes have degree $\ge k$
 - A node v of degree < k gives a cut $(\{v\}, V \setminus \{v\})$ of size < k
- Number of edges $m = \frac{1}{2} \cdot \sum_{v} \deg(v) \geqslant \frac{1}{2}$



Theorem: The probability that the algorithm outputs a minimum cut is at least 2/n(n-1).

- Consider a fixed min cut (A, B), assume (A, B) has size k
- The algorithm outputs (A, B) iff none of the k edges crossing (A, B) gets contracted.
- Before contraction i, there are n+1-i nodes \rightarrow and thus $\geq (n+1-i)k/2$ edges
- If no edge crossing (A, B) is contracted before, the probability to contract an edge crossing (A, B) in step i is at most

$$\frac{k}{(n+1-i)k} = \frac{2}{n+1-i}$$

$$\frac{(n+1-i)k}{2}$$
Prob. that ith conds. deslargs (A, B)



Theorem: The probability that the algorithm outputs a minimum cut is at least 2/n(n-1).

- If no edge crossing (A, B) is contracted before, the probability to contract an edge crossing (A, B) in step i is at most $^2/_{n+1-i}$.
- Event \mathcal{E}_i : edge contracted in step i is **not** crossing (A, B)

Goal:
$$\mathbb{R}(ab)$$
 redurns (A,B) = $\mathbb{R}(\mathcal{E}_1 \cap \mathcal{E}_2 \cap ... \cap \mathcal{E}_{N-2})$
= $\mathbb{R}(\mathcal{E}_1) \cdot \mathbb{R}(\mathcal{E}_2(\mathcal{E}_1) \cdot \mathbb{R}(\mathcal{E}_3 \mid \mathcal{E}_1 \cap \mathcal{E}_2) \cdot ... \cdot \mathbb{R}(\mathcal{E}_{N-2} \mid \mathcal{E}_1 \cap ... \cap \mathcal{E}_{N-3})$

$$\mathbb{P}(\mathcal{E}_{i}|\mathcal{E}_{i}, n...n \mathcal{E}_{i-1}) \geq 1 - \frac{2}{n-i+1} = \frac{n-i-1}{n-i+1}$$



Theorem: The probability that the algorithm outputs a minimum cut is at least 2/n(n-1).

- $\mathbb{P}(\mathcal{E}_{i+1}|\mathcal{E}_1 \cap \dots \cap \mathcal{E}_i) \ge 1 \frac{2}{n-i} = \frac{n-i-2}{n-i}$
- No edge crossing (A, B) contracted: event $\mathcal{E} = \bigcap_{i=1}^{n-2} \mathcal{E}_i$

$$R(\xi_{1}, \dots, \xi_{n}) = R(\xi_{1}) \cdot R(\xi_{1}|\xi_{1}) \cdot \dots \cdot R(\xi_{n-2}|\xi_{1}, \dots, \xi_{n-3})$$

$$= \frac{N-2}{n} \cdot \frac{N-3}{n-1} \cdot \frac{N-4}{n-2} \cdot \frac{N-5}{n-3} \cdot \frac{N-6}{n-3} \cdot \frac{3}{n-3} \cdot \frac{2}{n-3}$$

$$= \frac{2}{n(n-1)} = \frac{1}{\binom{n}{2}}$$

Randomized Min Cut Algorithm



Theorem: If the contraction algorithm is repeated $O(n^2 \log n)$ times, one of the $O(n^2 \log n)$ instances returns a min. cut w.h.p.

Proof:

 $|-x<e^{-x}$

• Probability to not get a minimum cut in $c \cdot \binom{n}{2} \cdot \ln n$ iterations:

$$\left(1 - \frac{1}{\binom{n}{2}}\right)^{\frac{c \cdot \binom{n}{2} \cdot \ln n}{2}} < e^{-c \ln n} = \frac{1}{n^c}$$

Corollary: The contraction algorithm allows to compute a minimum cut in $O(n^4 \log n)$ time w.h.p.

• It remains to show that each instance can be implemented in $O(n^2)$ time.

Implementing Edge Contractions

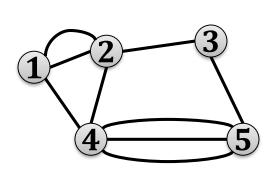


Edge Contraction:

- Given: multigraph with n nodes
 - assume that set of nodes is $\{1, ..., n\}$
- Goal: contract edge $\{u, v\}$

Data Structure

- We can use either adjacency lists or an adjacency matrix
- Entry in row i and column j: #edges between nodes i and j
- Example:

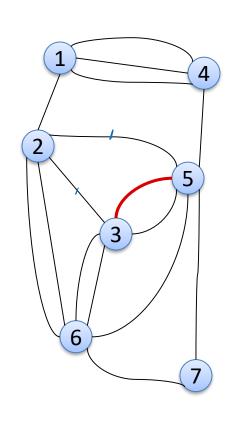


$$A = \begin{pmatrix} 0 & 2 & 0 & 1 & 0 \\ 2 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 \\ 1 & 1 & 0 & 0 & 3 \\ 0 & 0 & 1 & 3 & 0 \end{pmatrix}$$

Contracting An Edge



Example: Contract one of the edges between 3 and 5



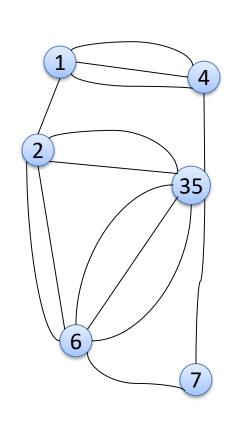
	1	2	3	4	5	6	7
1	0	1	0	3	0	0	0
2	1	0	1	0	1	2	0
3	0	1	0	0	2	2	0
4	3	0	0	0	1	0	0
5	0	1	2	1	0	1	1
6	0	2	2	0	1	0	1
7	0	0	0	0	1	1	0

{3,5} 0 2 1 1 3 1

Contracting An Edge



Example: Contract one of the edges between 3 and 5

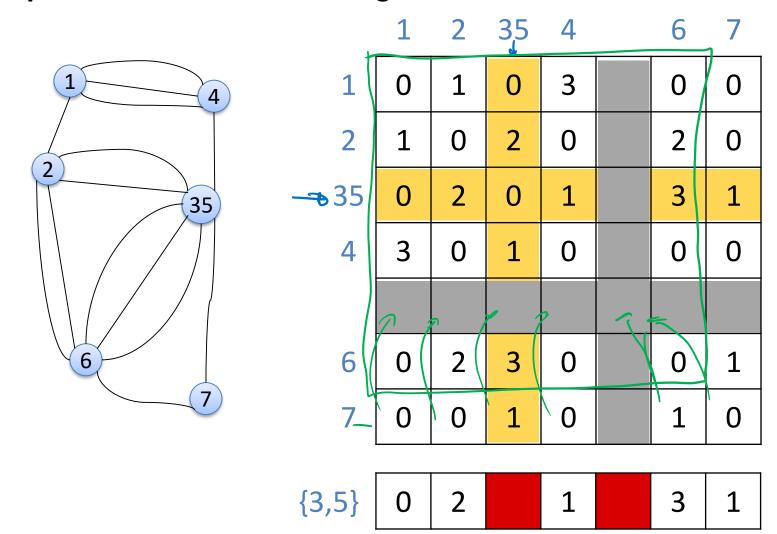


	1	2	3	4	5	6	7
1	0	1	0	3	0	0	0
2	1	0	1	0	1	2	0
3	0	1	0	0	2	2	0
4	3	0	0	0	1	0	0
5	0	1	2	1	0	1	1
6	0	2	2	0	1	0	1
7	0	0	0	0	1	1	0
5}	0	2		1		3	1

Contracting An Edge



Example: Contract one of the edges between 3 and 5



Contracting an Edge



Claim: Given the adjacency matrix of an n-node multigraph and an edge $\{u, v\}$, one can contract the edge $\{u, v\}$ in time O(n).

- Row/column of combined node $\{u,v\}$ is sum of rows/columns of u and v
- Row/column of u can be replaced by new row/column of combined node $\{u,v\}$
- Swap row/column of v with last row/column in order to have the new (n-1)-node multigraph as a contiguous $(n-1)\times(n-1)$ submatrix

Finding a Random Edge



- We need to contract a uniformly random edge
- How to find a uniformly random edge in a multigraph?
 - Finding a random non-zero entry (with the right probability) in an adjacency matrix costs $O(n^2)$.

din) 2m

Idea for more efficient algorithm:

- First choose a random node u
 - with probability proportional to the degree (#edges) of u
- Pick a random edge of u
 - only need to look at one row \rightarrow time O(n)

```
\frac{1}{d}, \frac{2}{d-1}, \frac{2}{d-3}
```

Choose a Random Node



Edge Sampling:

1. Choose a node $u \in V$ with probability

$$\frac{\deg(u)}{\sum_{v \in V} \deg(v)} = \frac{\deg(u)}{2m}$$

2. Choose a uniformly random edge of $u \rightarrow 0$ (a) t_{u}

$$\mathbb{R}(e) = \frac{d(n)}{2m} \cdot \frac{1}{d(n)} + \frac{d(v)}{2m} \cdot \frac{1}{d(v)} = \frac{1}{m}$$

Choose a Random Node



- We need to choose a random node u with probability $\frac{\deg(u)}{2m}$
- Keep track of the number of edges m and maintain an array with the degrees of all the nodes
 - Can be done with essentially no extra cost when doing edge contractions

Choose a random node:

```
degsum = 0;
for all nodes u \in V:
with probability \frac{\deg(u)}{2m-\deg sum}:
pick node u; terminate
else
degsum += \deg(u)
```

Randomized Min Cut Algorithm



Theorem: If the contraction algorithm is repeated $O(n^2 \log n)$ times, one of the $O(n^2 \log n)$ instances returns a min. cut w.h.p.

Corollary: The contraction algorithm allows to compute a minimum cut in $O(n^4 \log n)$ time w.h.p.

- One instance consists of n-2 edge contractions
- Each edge contraction can be carried out in time O(n)
 - Actually: O(current #nodes)
- Time per instance of the contraction algorithm: $O(n^2)$

Can We Do Better?



• Time $O(n^4 \log n)$ is not very spectacular, a simple max flow based implementation has time $O(n^4)$.

However, we will see that the contraction algorithm is nevertheless very interesting because:

- 1. The algorithm can be improved to beat every known deterministic algorithm.
- 24. It allows to obtain strong statements about the distribution of cuts in graphs.

Better Randomized Algorithm



Recall:

- Consider a fixed min cut (A, B), assume (A, B) has size k
- The algorithm outputs (A, B) iff none of the k edges crossing (A, B) gets contracted.
- Throughout the algorithm, the edge connectivity is at least k and therefore each node has degree $\geq k$
- Before contraction i, there are n+1-i nodes and thus at least (n+1-i)k/2 edges
- If no edge crossing (A, B) is contracted before, the probability to contract an edge crossing (A, B) in step i is at most

$$\frac{k}{\frac{(n+1-i)k}{2}} = \frac{2}{n+1-i}.$$

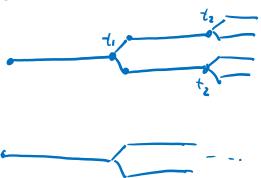
Improving the Contraction Algorithm



• For a specific min cut (A, B), if (A, B) survives the first i contractions,

$$\mathbb{P}(\text{edge crossing } (A, B) \text{ in contraction } \underline{i+1}) \leq \frac{2}{n-i}.$$

- Observation: The probability only gets large for large i
- Idea: The early steps are much safer than the late steps.
 Maybe we can repeat the late steps more often than the early ones.



Safe Contraction Phase



Lemma: A given min cut (A, B) of an n-node graph G survives the first $n - \left\lceil n \middle/ \sqrt{2} + 1 \right\rceil$ contractions, with probability $> 1 \middle/ 2$.

- Event \mathcal{E}_i : cut (A, B) survives contraction i
- Probability that (A, B) survives the first n t contractions:

Better Randomized Algorithm



Let's simplify a bit:

- Pretend that $n/\sqrt{2}$ is an integer (for all n we will need it).
- Assume that a given min cut survives the first $n n/\sqrt{2}$ contractions with probability $\geq 1/2$.

contract(G, t):

• Starting with n-node graph G, perform n-t edge contractions such that the new graph has \underline{t} nodes.

mincut(G):

- 1. $X_1 := \min(\operatorname{contract}(G, n/\sqrt{2}));$
- 2. $X_2 := \min(\cot(G, n/\sqrt{2}));$
- 3. **return** min{ X_1, X_2 };

preserves win. cut w. pr. 1/2 **Success Probability**



mincut(G):

- 1. $X_1 := \min(\cot(G, n/\sqrt{2}));$
- 2. $X_2 := \min(\cot(G, n/\sqrt{2}));$
- return min $\{X_1, X_2\}$;

P(n): probability that the above algorithm returns a min cut when applied to a graph with n nodes.

Probability that X_1 is a min cut $\geq \frac{1}{2} \cdot \mathcal{P}(\frac{n}{6})$

Recursion:

$$P(n) \ge 1 - \left(1 - \frac{1}{2}P(\frac{n}{n})^2\right) = P(\frac{n}{n}) - \frac{1}{4}P(\frac{n}{n})^2$$
 $P(2) = 1$

Success Probability





Theorem: The recursive randomized min cut algorithm returns a minimum cut with probability at least $1/\log_2 n$.

Proof (by induction on n):

$$l_g(\frac{n}{2}) = l_g n - \frac{1}{2}$$

$$P(n) = P\left(\frac{n}{\sqrt{2}}\right) - \frac{1}{4} \cdot P\left(\frac{n}{\sqrt{2}}\right)^2,$$

$$P(2) = 1$$

Base case:
$$n=2$$
 $P(n) \ge \frac{1}{l_{0,2}} = 1$

$$\frac{\ln d. \, \operatorname{Step:}}{\operatorname{R}(n)} = \operatorname{R}(\frac{n}{c_{2}}) - \frac{1}{4} \operatorname{R}(\frac{n}{c_{2}})^{2}$$

$$= \frac{1}{\operatorname{log}(\sqrt[n]{c_{2}})} - \frac{1}{4 \operatorname{log}(\sqrt[n]{c_{2}})^{2}} = \frac{1}{\operatorname{log}(\sqrt[n]{c_{2}})} \left(1 - \frac{1}{4 \operatorname{log}(\sqrt[n]{c_{2}})}\right)$$

$$= \frac{1}{\operatorname{log}(n - \frac{1}{2})} \left(1 - \frac{1}{4 \operatorname{log}(n - \frac{1}{2})}\right) = \frac{1}{\operatorname{log}(n - \frac{1}{2})} \cdot \frac{4 \operatorname{log}(n - 3)}{4 \operatorname{log}(n - 2)}$$

$$= \frac{4 \operatorname{log}(n - 3)}{4 \operatorname{log}(n - 4 \operatorname{log}(n + 1))} \ge \frac{4 \operatorname{log}(n - 3)}{4 \operatorname{log}(n - 3)} = \frac{1}{\operatorname{log}(n - 3)}$$

$$\frac{4 \lg n - 3}{4 \lg n - 3 \lg n} = \frac{1}{\lg n}$$

Running Time



1.
$$X_1 := \min(\operatorname{contract}(G, n/\sqrt{2}));$$

2.
$$X_2 := \min(\operatorname{contract}(G, n/\sqrt{2}));$$

3. **return** min{ X_1, X_2 };

Kasker Than $C = log_5 a$ $T(n) = a \cdot T(\frac{n}{b}) + O(u^c)$ $Z_3 T(u) = O(u^c \cdot log_n)$

Recursion:

•
$$T(n)$$
: time to apply algorithm to n -node graphs

- Recursive calls: $2T \binom{n}{\sqrt{2}}$
- Number of contractions to get to $n/\sqrt{2}$ nodes: O(n)

$$T(n) = 2T\left(\frac{n}{\sqrt{2}}\right) + O(n^2), \qquad T(2) = O(1)$$

Running Time



Theorem: The running time of the recursive, randomized min cut algorithm is $O(n^2 \log n)$.

Proof:

Can be shown in the usual way, by induction on n

Remark:

$$\left(1-\frac{1}{l_{0jn}}\right)^{x} < e^{-closin}$$

- The running time is only by an $O(\log n)$ -factor slower than the basic contraction algorithm.
- The success probability is exponentially better!

If we want a min. and wh.p.
$$(1-\frac{1}{u^2})$$
: we need $\Theta(\log^2 n)$ rep.

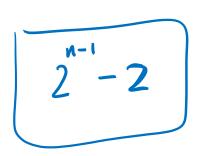
Trunning time: $O(n^2 \cdot \log^3 n)$ bents best det. alg.

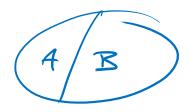
best det. alg: $O(mn + n^2 \log n)$

Number of Minimum Cuts



- Given a graph G, how many minimum cuts can there be?
- Or alternatively: If G has edge connectivity k, how many ways are there to remove k edges to disconnect G?
- Note that the total number of cuts is large.

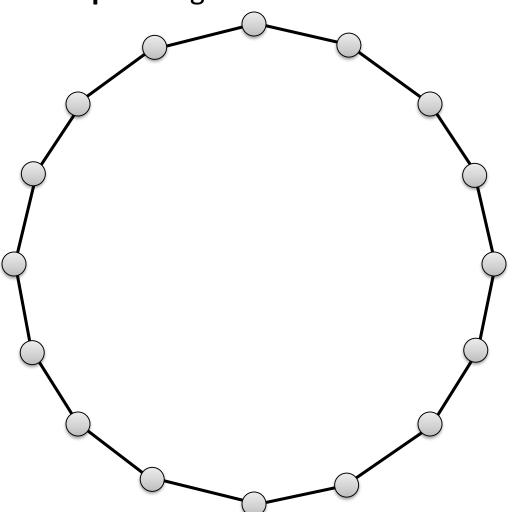




Number of Minimum Cuts



Example: Ring with n nodes



- Minimum cut size: 2
- Every two edges induce a min cut
- Number of edge pairs:





 Are there graphs with more min cuts?

Number of Min Cuts



Theorem: The number of minimum cuts of a graph is at most $\binom{n}{2}$.

- Assume there are s min cuts
- For $i \in \{1, ..., s\}$, define event C_i : $C_i := \{ \text{basic contraction algorithm returns min cut } i \}$
- We know that for $i \in \{1, ..., s\}$: $\mathbb{P}(\mathcal{C}_i) \triangleq 1/\binom{n}{2}$
- Events C_1, \dots, C_s are disjoint:

$$| \geq \mathbb{P}\left(\bigcup_{i=1}^{S} C_{i}\right) = \sum_{i=1}^{S} \mathbb{P}(C_{i}) \geq \frac{S}{\binom{n}{2}} \qquad \underbrace{\frac{S \leq \binom{n}{2}}{2}}$$

$$\frac{S}{\binom{N}{2}} \leq 1$$

$$S \leq \binom{N}{2}$$

Counting Larger Cuts





- In the following, assume that min cut has size $\lambda = \lambda(G)$
- How many cuts of size $\leq k = \alpha \cdot \lambda$ can a graph have?
- Consider a specific cut (A, B) of size $\leq k$
- As before, during the contraction algorithm:
 - − min cut size $\ge \lambda$
 - − number of edges $\geq \lambda \cdot \#$ nodes/2
 - cut (A, B) remains as long as none of its edges gets contracted
- Prob. that an edge crossing (A, B) is chosen in i^{th} contraction

$$\leq \frac{k}{\text{\#edges}} \leq \frac{2k}{\lambda \cdot \text{\#nodes}} = \frac{2\alpha}{n - i + 1}$$

For simplicity, in the following, assume that 2α is an integer

Counting Larger Cuts



Lemma: If $2\alpha \in \mathbb{N}$, the probability that cut (A, B) of size $\alpha \cdot \lambda$ survives the first $n-2\alpha$ edge contractions is at least

$$\frac{(2\alpha)!}{n(n-1)\cdot\ldots\cdot(n-2\alpha+1)} \ge \frac{2^{2\alpha-1}}{n^{2\alpha}}.$$

Proof:

• As before, event \mathcal{E}_i : cut (A, B) survives contraction i

$$\frac{N-2\alpha}{N}$$
, $\frac{N-2\alpha-1}{N-1}$, $\frac{N-2\alpha-2}{N-2}$, $\frac{2}{2\alpha+1}$, $\frac{1}{2xy}$

Number of Cuts

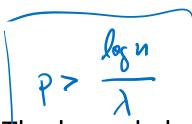


Theorem: If $2\alpha \in \mathbb{N}$, the number of edge cuts of size at most $\alpha \cdot \lambda(G)$ in an n-node graph G is at most $n^{2\alpha}$.

Proof:

$$\mathbb{P}(C_{n}(A,B) \text{ surrives}) \ge \frac{2^{n}}{n^{2\alpha}}$$

$$S \cdot \frac{2^{2\alpha-1}}{n^{2\alpha}} \cdot \frac{1}{2^{2\alpha-1}} \leq 1$$



Remark: The bound also holds for general $\alpha \geq 1$.