



## Algorithms and Data Structures Winter Term 2019/2020 Sample Solution Exercise Sheet 11

*Remark: This is a repetition exercise with a selection of previous topics based on your vote. Since next week will be the final lesson, there is no need to submit this exercise for feedback.*

### Exercise 1: Counting Bit Flips of a Binary Counter

Consider a counter represented as bit string. We increment (add 1 to) the counter  $n$  times. Show that the *amortized number of bit flips per increment operation* is  $\mathcal{O}(1)$ . You may assume that your counter starts with 0 and has at least  $\log_2 n$  bits.

- (a) Analyze the number of bit flips using the *aggregate method*. That is, count the total number of bit flips and divide it by the number of operations.
- (b) Analyze the number of bit flips using the *accounting method*. Specifically, show that by paying a constant amount of coins to an account per operation, and subtracting the true cost per operation from the account, the account still stays positive all the time.

### Sample Solution

First we make the following observation: Let  $b_i$  be the  $i^{\text{th}}$  bit (where  $i = 0$  is the least significant bit). Then  $b_i$  gets flipped every  $(2^i)^{\text{th}}$  increment operation. Assume that  $n = 2^m$  is a power of two, otherwise we “round”  $n$  to the next power of two. Therefore, when we count to  $n$  the bit with number  $b_i$  gets flipped  $n/2^i$  times (the higher the significance of the bit the less frequent it gets flipped).

- (a) Now for the total cost  $C_n$  of counting to  $n$  we have

$$C_n = \sum_{i=0}^m \text{“#flips of } b_i\text{”} = \sum_{i=0}^m \frac{2^m}{2^i} = \sum_{i=0}^m 2^i = 2^m - 1$$

Then the amortized cost is the total cost  $C_n$  divided by the number of operations. Since we “rounded”  $n$  to the next power of two, we must assume that originally, we had only  $\frac{n}{2}$  operations. Then we have the following amortized cost per operation

$$\frac{C_n}{n/2} = \frac{2^m - 1}{n/2} \leq \frac{2^m}{n/2} = \frac{2 \cdot 2^m}{n} = \frac{2 \cdot 2^m}{2^m} = 2 \in \mathcal{O}(1).$$

- (b) We make two more observations.
  - (i) Whenever we increment, there is at most one flip from zero to one (shorthand  $0 \rightarrow 1$ ) (but there may be many flips  $1 \rightarrow 0$ ).
  - (ii) For each bit-flip from one to zero (shorthand  $1 \rightarrow 0$ ) there must have been a bit flip  $0 \rightarrow 1$  before, as the counter starts with 0.

Note that the first observation is due to the fact that when we are incrementing (starting from the least significant bit  $b_0$ ) we go from  $b_i$  to  $b_{i+1}$  only if  $b_i$  is flipped to 0 and a 1 is carried over to  $b_{i+1}$ . When eventually, for some  $i$  the bit  $b_{i+1}$  is 0, then  $b_{i+1}$  is flipped from 0 to 1. But then we are also done since no carryover occurs anymore.

The true cost per bit flip is always one. But we assign amortized costs in such a way that the flips  $0 \rightarrow 1$  “pay more” to the account, so that there is always enough to pay for the subsequent flips  $1 \rightarrow 0$ . Specifically, for each flip  $0 \rightarrow 1$  we pay 2 coins, whereas we use one coin to pay for the flip  $0 \rightarrow 1$  itself and we pay the other to the account. For each flip  $1 \rightarrow 0$  we do not pay anything but instead subtract a coin from the account to pay for the flip. We have to argue that there is always enough balance on the account to pay for all the flips  $1 \rightarrow 0$ .

On the one hand, due to observation (i), no increment operation costs more than 2 coins, since there is at most one flip  $0 \rightarrow 1$  per operation that has a cost (of 2) assigned to it. Due to observation (ii) there is a coin available to pay for each flip  $1 \rightarrow 0$  since the same bit must have been flipped  $0 \rightarrow 1$  before, where paid a coin in the account to save for the flip back to 0. Essentially each flip  $0 \rightarrow 1$  of a given bit pays for the subsequent flip  $1 \rightarrow 0$ .

## Exercise 2: More Hashing

Let  $h(s, j) := h_1(s) + j \cdot h_2(s) \bmod 13$  and let  $h_1(x) = 2x + 3 \bmod 13$  and  $h_2(x) = 2 + (x \bmod 12)$ .

- Give an infinite key set (a subset of  $\mathbb{N}$ ) that are mapped to the same table entry (for  $j = 0$ ).
- Insert the keys **3,11,23,5,24,8,21,10** into the hash table of size  $m = 11$  using the double hashing probing technique for collision resolution. The hash table below should show the final state.

0	1	2	3	4	5	6	7	8	9	10	11	12

## Sample Solution

- All positive multiples of 13.
- Resulting table:

5	24			21		8		10	3	23		11
0	1	2	3	4	5	6	7	8	9	10	11	12

## Exercise 3: Frequent Numbers

You are given an Array  $A[0 \dots n-1]$  of  $n$  integers and the goal is to determine frequent numbers which occur at least  $n/3$  times in  $A$ . There can be at most three such numbers, if any exist at all.

- Give an algorithm with runtime  $\mathcal{O}(n \log n)$  based on the divide and conquer principle that outputs the frequent numbers (if any exist).
- Argue why your algorithm is correct, give a recurrence relation for the runtime and use it to prove the runtime.

## Sample Solution

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(a) **Algorithm 1** `Frequent-Numbers( $A, \ell, r$ )`

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if  $\ell = r$  then return  $\{A[\ell]\}$  ▷ base case
 $C \leftarrow$  Frequent-Numbers( $A, \ell, \lceil \frac{\ell+r}{2} \rceil - 1$ ) ▷ candidates are the frequent numbers of left ...
 $C \leftarrow C \cup$  Frequent-Numbers( $A, \lceil \frac{\ell+r}{2} \rceil, r$ ) ▷ ... and right sub-array
for  $c \in C$  do
    count the number of occurrences of  $c$  in  $A[\ell \dots r]$ 
    if  $c$  occurs less than  $\frac{r-\ell}{3}$  times in  $A[\ell \dots r]$  then  $C \leftarrow C \setminus \{c\}$ 
return  $C$ 

```

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A call of `Frequent-Numbers( $A, 0, n-1$ )` solves the problem.

(b) We split the given array  $A$  into two parts of (almost) equal size. A frequent number of that array must be a frequent number in the left half or the right half (or both). Thus it suffices to first find the frequent numbers of the left sub-array and then the ones of the right (if they exist). We do this by applying the procedure recursively and then check whether some of these are also frequent in  $A$ , by simply counting the number of occurrences of the candidates.

In each iteration we make recursive calls on two sub-arrays of half the size of  $A$ . Afterwards we count elements in the current array which takes at most  $\mathcal{O}(n)$  read operations if  $n$  is the current size of the array (note that the set  $C$  has size at most 6). We obtain the recurrence relation  $T(n) \leq 2T(\lceil \frac{n}{2} \rceil) + 6n$  with base case  $T(1) = \mathcal{O}(1)$ , which solves to  $T(n) \in \mathcal{O}(n \log n)$  with the Master Theorem.

## Exercise 4: Analysing an Algorithm

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**Algorithm 2** `algorithm( $A$ )` ▷ integer array  $A[0 \dots n-1]$

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for  $i \leftarrow 1$  to  $n-1$  do
    for  $j \leftarrow 0$  to  $i-1$  do
        for  $k \leftarrow 0$  to  $n-1$  do
            if  $|A[i] - A[j]| = A[k]$  then
                return true
return false

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- What does the above algorithm compute?
- Give the asymptotic running time of the above algorithm and a short explanation for that.
- Describe an algorithm that computes the same output but asymptotically faster.

## Sample Solution

- It checks whether there is a pair of numbers (from different positions) in the array such that the absolute difference of those two numbers equals the value of another array entry.
- We have three nested loops. We show that the second loop makes  $\Theta(n^2)$  iterations.

$$\sum_{i=1}^{n-1} i = \frac{n(n-1)}{2} = \frac{n^2}{2} - \frac{n}{2} = \Theta(n^2)$$

Then we have a third inner loop which makes  $\Theta(n)$  iterations for each iteration of the second loop. Hence the total asymptotic runtime is  $\Theta(n^3)$ .

(c) We do the following. First we use two nested loops to store all values of the form  $|A[i] - A[j]|$  for all  $(i, j) \in \{0, \dots, n-1\}^2$  in a separate array  $B$  of size  $n^2$ . This takes  $\Theta(n^2)$  time. Second, we sort  $B$  using, e.g., the merge sort algorithm that we saw in a previous exercise, which takes  $\Theta(n^2 \log(n^2)) = \Theta(2n^2 \log n) = \Theta(n^2 \log n)$  time (merge sort takes  $\Theta(N \log N)$  time to sort an array of size  $N$ ).

Third, for each  $k \in \{0, \dots, n-1\}$  we start a binary search for  $A[k]$  on  $B$  (we have also seen this algorithm on a previous exercise sheet). This takes  $\Theta(n \log(n^2)) = \Theta(n \log n)$  time as binary search has runtime just  $\Theta(\log N)$  to search for a value in an array of size  $N$ . The total runtime is dominated by the second step, which takes  $\Theta(n^2 \log n)$ .

*Alternative solution:* We can also use a hash table  $H$  of size  $\Theta(n^2)$  and insert all values  $|A[i] - A[j]|$  for all  $(i, j) \in \{0, \dots, n-1\}^2$ , which takes  $\Theta(n^2)$  time. Then we can lookup  $A[k]$  for each  $k \in \{0, \dots, n-1\}$  in  $\Theta(n)$  time. The total runtime is dominated by the first step of hashing the values into table  $H$ , with runtime  $\Theta(n^2)$ . Note that the runtime is only in expectation for a randomly selected hashfunction from a universal family.