



Algorithms and Data Structures Winter Term 2020/2021 Sample Solution Exercise Sheet 9

Exercise 1: Minimum Spanning Trees

Let $G = (V, E, w)$ be an *undirected, connected, weighted* graph with pairwise distinct edge weights.

- (a) Show that G has a *unique* minimum spanning tree.
- (b) Show that the minimum spanning tree T' of G is obtained by the following construction:

Start with $T' = \emptyset$. For each cut in G , add the lightest cut edge to T' .

Sample Solution

- (a) Let T and T' be two minimum spanning trees with edges e_1, \dots, e_{n-1} and e'_1, \dots, e'_{n-1} , sorted by increasing weight. Assume we have $T \neq T'$. Let j be the largest index for which $e_j \neq e'_j$. As the weights are pairwise distinct, we also have $w(e_j) \neq w(e'_j)$. W.l.o.g. let $w(e_j) < w(e'_j)$. The graph $T' \setminus \{e'_j\}$ has two connected components with nodes S and $V \setminus S$. Let e_k be the edge in T connecting S and $V \setminus S$. As T' contains only one edge between S and $V \setminus S$, it must hold $k \leq j$ (as $e_k = e'_k$ for $k > j$). As $(T' \setminus \{e'_j\}) \cup \{e_k\}$ is a spanning tree and $w(e'_j) > w(e_j) \geq w(e_k)$, it has a smaller weight than T' , contradicting that T' is minimal.
- (b) Let T be the MST of G and T' the set containing the lightest cut edges.
 - $T' \subseteq T$: Let $s \in T'$, i.e., s is the lightest cut edge of a cut $(S, V \setminus S)$ in G . Let e be the edge of T connecting S and $V \setminus S$. If $e \neq s$, then $w(s) < w(e)$ and the spanning tree $(T \setminus \{e\}) \cup \{s\}$ would have a smaller weight than T , contradicting that T is an MST. Hence we have $e = s$ and thus $s \in T$.
 - $T \subseteq T'$: Let $e \in T$. The graph $T \setminus \{e\}$ has two connected components which define a cut in G . With an exchange argument as above one can show that e is the (unique) lightest cut edge of this cut, i.e., we have $e \in T'$.

Exercise 2: Travelling Salesperson Problem

Let $p_1, \dots, p_n \in \mathbb{R}^2$ be points in the euclidean plane. Point p_i represents the position of city i . The distance between cities i and j is defined as the euclidean distance between the points p_i and p_j . A *tour* is a sequence of cities (i_1, \dots, i_n) such that each city is visited exactly once (formally, it is a permutation of $\{1, \dots, n\}$). The task is to find a tour that minimizes the travelled distance. This problem is probably costly to solve.¹ We therefore aim for a tour that is at most twice as long as a minimal tour.

¹The Travelling Salesperson Problem is in the class of \mathcal{NP} -complete problems for which it is assumed that no algorithm with polynomial runtime exists. However, this has not been proven yet.

We can model this as a graph problem, using the graph $G = (V, E, w)$ with $V = \{p_1, \dots, p_n\}$ and $w(p_i, p_j) := \|p_i - p_j\|_2$. Hence, G is undirected and complete and fulfills the triangle inequality, i.e., for any nodes x, y, z we have $w(\{x, z\}) \leq w(\{x, y\}) + w(\{y, z\})$. We aim for a tour (i_1, \dots, i_n) such that $w(p_{i_n}, p_{i_1}) + \sum_{j=1}^{n-1} w(p_{i_j}, p_{i_{j+1}})$ is small.

Let G be a weighted, undirected, complete graph that fulfills the triangle inequality. Show that the sequence of nodes obtained by a pre-order traversal of a minimum spanning tree (starting at an arbitrary root) is a tour that is at most twice as long as a minimal tour.

Sample Solution

Let $R = (i_1, \dots, i_n)$ be a minimal tour and $w(R) := w(p_{i_n}, p_{i_1}) + \sum_{j=1}^{n-1} w(p_{i_j}, p_{i_{j+1}})$. Let T be an MST, $w(T) := \sum_{e \in T} w(e)$ its weight and \mathcal{P}_T its pre-order sequence of nodes. As the graph is complete, \mathcal{P}_T is also a tour.

We add points to \mathcal{P}_T as follows: If two subsequent nodes u and v are not connected in T by a tree edge, we add between u and v all nodes on the shortest path from u to v in T (these are all nodes from u to the first common ancestor w and from there to v). We write \mathcal{P}'_T for the sequence that we obtain (this is formally not a tour as points are visited more than once).

In \mathcal{P}'_T , two subsequent nodes are neighbors in T , so we can consider this sequence as a sequence of edges in T . Each edge from T is contained in \mathcal{P}'_T exactly twice (if you go from the last point back to the root). Thus we have $w(\mathcal{P}'_T) = 2 \sum_{e \in T} w(e)$. The triangle inequality implies $w(\mathcal{P}_T) \leq w(\mathcal{P}'_T)$ and hence $w(\mathcal{P}_T) \leq 2 \sum_{e \in T} w(e)$.

The minimal tour R defines a spanning tree T_R by taking the edges between subsequent nodes in R . As T is the minimum spanning tree we have $w(T) \leq w(T_R) \leq w(T_R) + w(p_{i_n}, p_{i_1}) = w(R)$ and hence $w(\mathcal{P}_T) \leq 2 \cdot w(R)$.

Remark: The above argumentation also works for the post-order traversal. However, if you want the tour to start at a predefined point, it is easiest to use this point as the root of a pre-order traversal.