



# Algorithms Theory

## 05 - Hashing

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Dictionary Problem  
Randomization  
Choose a hash  
function randomly  
from a family  
of functions

# Overview



- Introduction
- Universal hashing
- Perfect hashing

*"few collisions"*  
*"no collisions"*

# The dictionary problem

**Given:** Universe  $U = [0 \dots N-1]$ , where  $N$  is a natural number.

**Goal:** Maintain set  $S \subseteq U$  under the following operations.

- **Search(x,S):** Is  $x \in S$ ?
- **Insert(x,S):** Insert  $x$  into  $S$  if not already in  $S$ .
- **Delete(x,S):** Delete  $x$  from  $S$ .

Advantage of hashing:

$O(1)$  time per operation

Disadvantage of hashing:

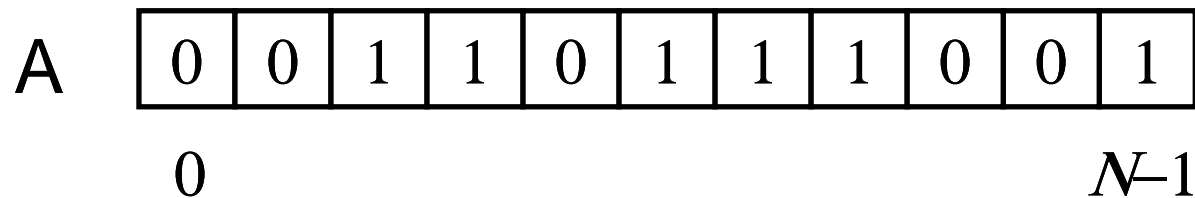
- Does not directly support extended dictionary operations. Just search, insert, delete.
- Maybe we have space overhead.



# Trivial implementation

Array  $A[0 \dots N-1]$  where  $A[i] = 1$   $\Leftrightarrow$   $i \in S$

Each operation takes time  $O(1)$  but the required memory space is  $\Theta(N)$ .



**Goal:** Space requirement  $O(|S|)$  and expected time  $O(1)$  per operation.

# Idea of hashing

Use an array of length  $O(|S|)$ .

Compute the position where to store an element using a function defined on the keys.

Universe

$$U = [0 \dots N-1]$$

$$m = O(|S|)$$

Hash table

Array  $T[0 \dots m-1]$

Hash function

$$h: U \rightarrow [0 \dots m-1]$$

*map keys to table positions*

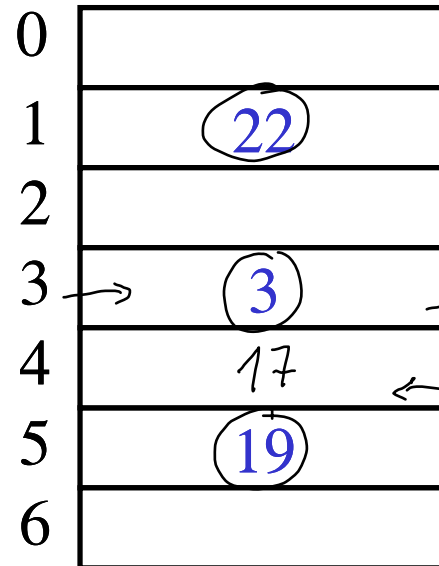
Element  $x \in S$  is stored in  $T[h(x)]$ .

# Example



$N = 100$ ;  $U = [0 \dots 99]$ ;  $m = 7$ ;  $h(x) = x \bmod 7$ ;  $S = \{3, 19, 22\}$

T



$$h(3) = 3 \bmod 7 = 3$$

$$h(19) = 19 \bmod 7 = 5$$

$$h(22) = 22 \bmod 7 = 1$$

$$h(17) = 17 \bmod 7 = 3$$

Hashing with chaining  
linear probing.

If 17 is inserted next, a collision arises because  $h(17) = 3$ .

# Possible collision resolutions

- Hashing with chaining:  $T[i]$  contains a list of elements.
- Hashing with open addressing: Instead of one address for an element there are  $m$  many that are probed sequentially.
- Universal hashing: Choose a hash function such that only few collisions occur. Collisions are resolved by chaining.
- Perfect hashing: Choose a hash function such that no collisions occur.

*Set  $S$  is known in advance*

# Universal hashing



**Idea:** Use a class  $H$  of hash functions. The hash function  $h \in H$  actually used is chosen uniformly at random from  $H$ .

**Goal:** For each  $S \subseteq U$ , the expected time of each operation is  $O(1 + \beta)$ , where  $\beta = |S|/m$  is the load factor of the table.

Running time:  $O(\text{longest chain})$   
This could be  $O(|S|)$

Expected running time:  $O\left(\frac{|S|}{m}\right)$

**Property of  $H$ :** For two arbitrary elements  $x, y \in U$ , only few  $h \in H$  lead to a collision ( $h(x) = h(y)$ ).  
collision



# Universal hashing

$$U = [0, \dots, N-1] \quad T[c_1, \dots, m-1]$$

**Definition:** Let  $N$  and  $m$  be natural numbers. A class

$H \subseteq \{h : [0 \dots N-1] \rightarrow [0 \dots m-1]\}$  is universal if for all

$x, y \in U = [0 \dots N-1], \underline{x \neq y}$ :

Set of functions that lead to a collision for  $x$  and  $y$

$$\frac{|\{h \in H : h(x) = h(y)\}|}{|H|} \leq \frac{1}{m}$$

"small" prob. of collision.

all functions in the class  $H$ .

**Intuitively:** An  $h$  chosen uniformly at random is as good as if the table positions of the elements are chosen uniformly at random.

# A universal class of functions

Let  $N, m$  be natural numbers, where  $N$  is prime.

For numbers  $a \in \{1, \dots, N-1\}$  and  $b \in \{0, \dots, N-1\}$ , let

$h_{a,b} : U = [0 \dots N-1] \rightarrow \{0, \dots, m-1\}$  be defined as:

$$\underline{h_{a,b}}(x) = ((ax + b) \bmod N) \bmod m$$

Theorem:  $H = \{h_{a,b}(x) \mid 1 \leq a < N \text{ and } 0 \leq b < N\}$  is a universal class of hash functions.