

# Operations on Fibonacci heaps

***Q.initialize():***  $Q.rootlist = Q.min = null$

$O(1)$

***Q.meld(Q')***:

1. concatenate  $Q.rootlist$  and  $Q'.rootlist$

2. update  $Q.min$  and size

$O(1)$

***Q.insert(e):***

1. generate a new node with element  $e \rightarrow Q'$

2.  $Q.meld(Q')$

$O(1)$

***Q.min():***

return  $Q.min.key$

$O(1)$

# Fibonacci heaps: 'deletemin'

## *Q.deletemin()*

*/\*Delete the node with minimum key from Q and return its element.\*/*

1 *m = Q.min()*

2 *if Q.size() > 0*

3     *then remove Q.min() from Q.rootlist*

4             *add Q.min.childlist to Q.rootlist*

5     *Q.consolidate()*

*/\* Repeatedly meld nodes in the root list having the same degree. Then determine the element with minimum key. \*/*

6 *return m*



# Fibonacci heaps: maximum degree of a node

$rank(v)$  = degree of node  $v$  in  $Q$

$rank(Q)$  = maximum degree of any node in  $Q$

**Assumption:**

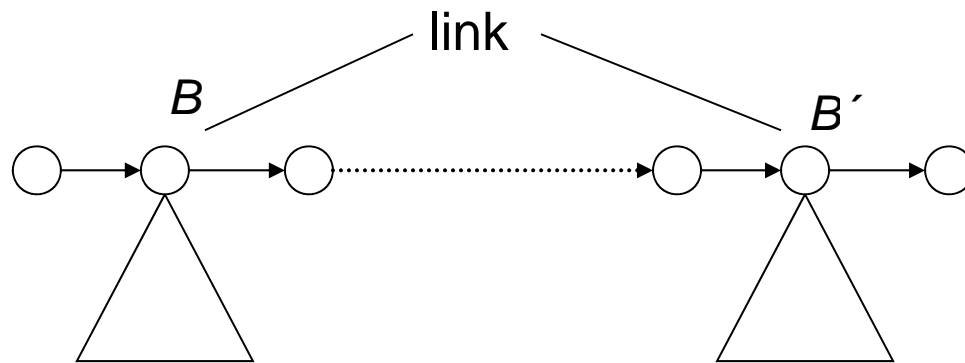
$$rank(Q) \leq 2 \log n,$$

if  $Q.size = n$ .

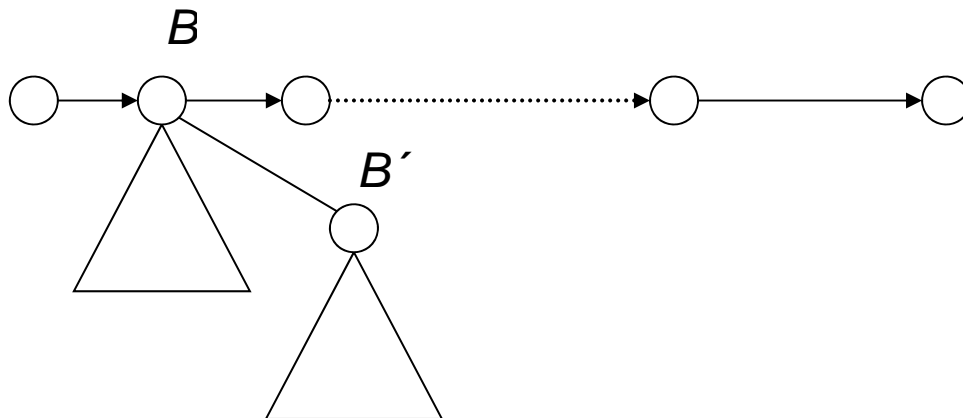
# Fibonacci heaps: operation 'link'

$rank(B)$  = degree of the root of  $B$

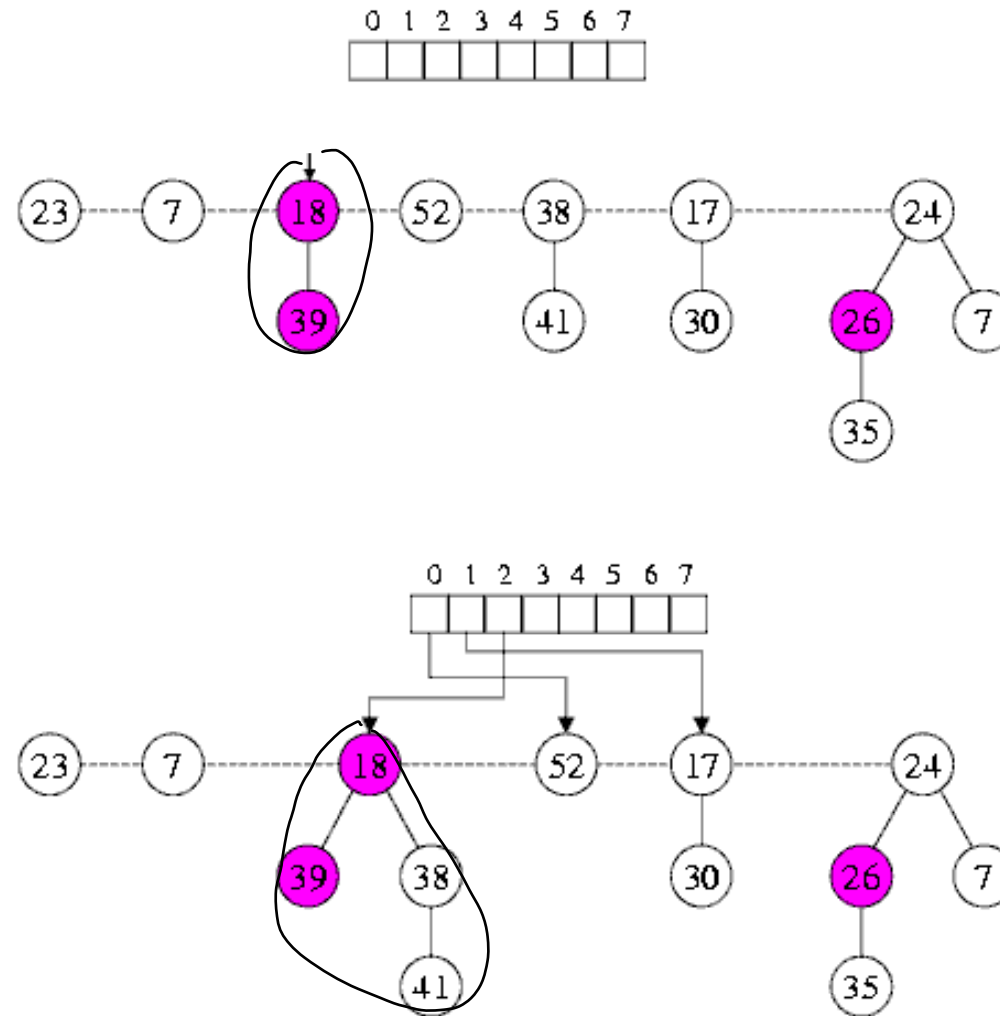
Heap-ordered trees  $B, B'$  with  $rank(B) = rank(B')$



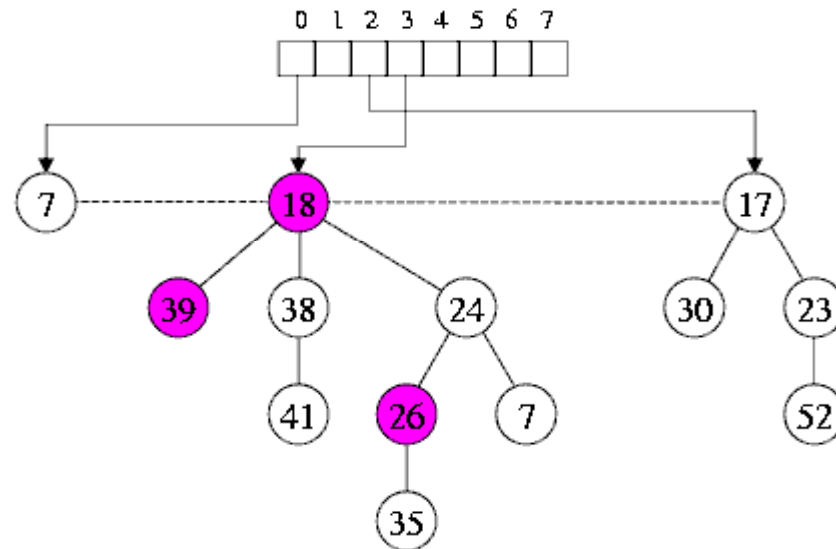
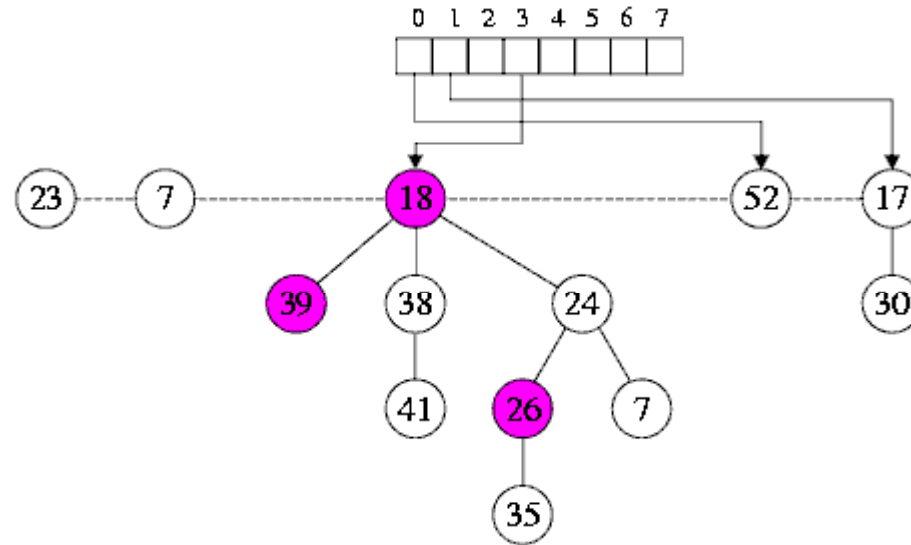
1.  $rank(B) = rank(B) + 1$
2.  $B'.mark = false$



# Consolidation of the root list



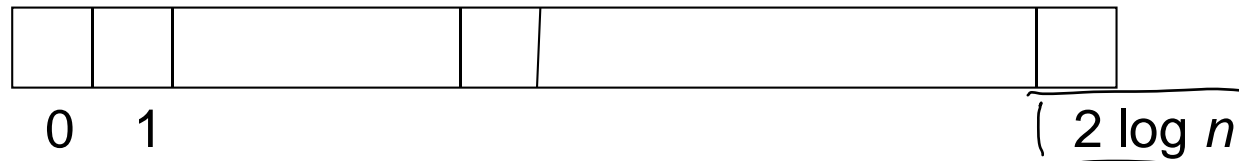
# Consolidation of the root list



# Fibonacci heaps: 'deletemin'

Find roots having the same rank:

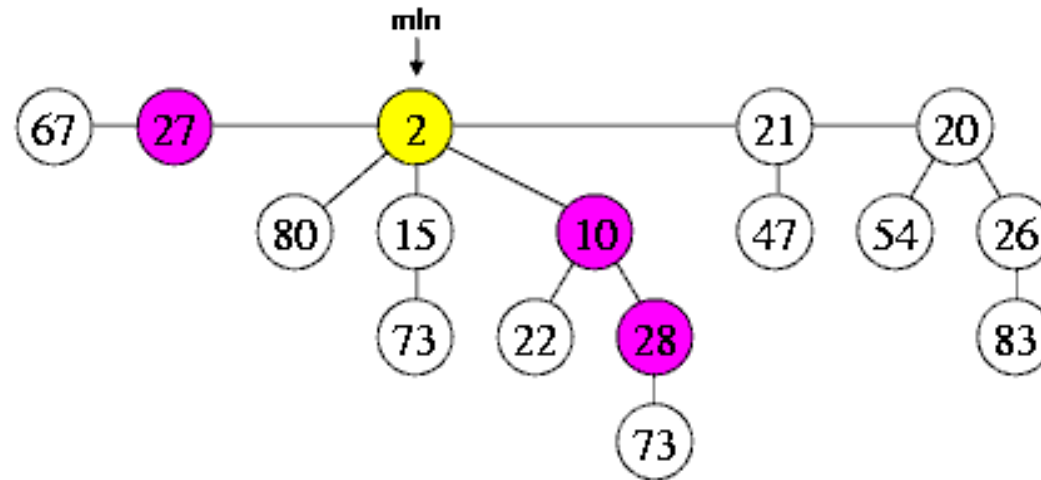
Array *A*:



## *Q.consolidate()*

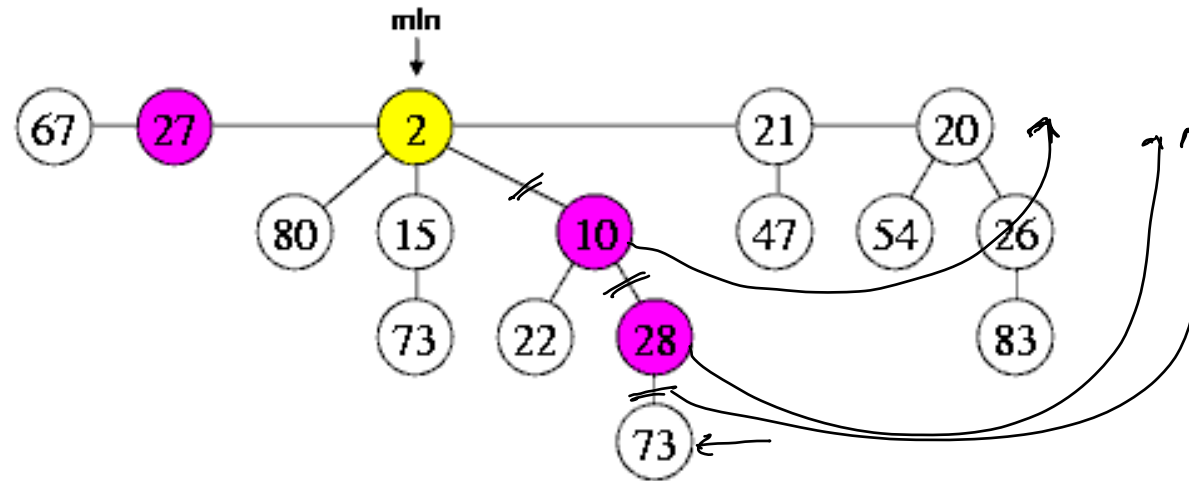
- 1 *A* = array of length  $2 \log n$  pointing to Fibonacci heap nodes
- 2 **for**  $i = 0$  **to**  $2 \log n$  **do**  $A[i] = \text{null}$
- 3 **while**  $Q.\text{rootlist} \neq \emptyset$  **do**
- 4      $B = Q.\text{delete-first}()$
- 5     **while**  $A[\text{rank}(B)]$  is not null **do**
- 6          $B' = A[\text{rank}(B)]; A[\text{rank}(B)] = \text{null}; B = \text{link}(B, B')$
- 7     **end while**
- 8      $A[\text{rank}(B)] = B$
- 9 **end while**
- 10 determine  $Q.\text{min}$

# Fibonacci heap: Example





# Fibonacci heap: Example



# Fibonacci heaps: 'decreasekey'

*Q.decreasekey(v,k)*

```
1  if  $k > v.key$  then return
2   $v.key = k$ 
3  update  $Q.min$ 
4  if  $v \in Q.rootlist$  or  $k \geq v.parent.key$  then return
5  do /* cascading cuts */
6      $parent = v.parent$ 
7      $Q.cut(v)$ 
8      $v = parent$ 
9  while  $v.mark$  and  $v \notin Q.rootlist$ 
10 if  $v \notin Q.rootlist$  then  $v.mark = true$ 
```

# Fibonacci heaps: 'cut'

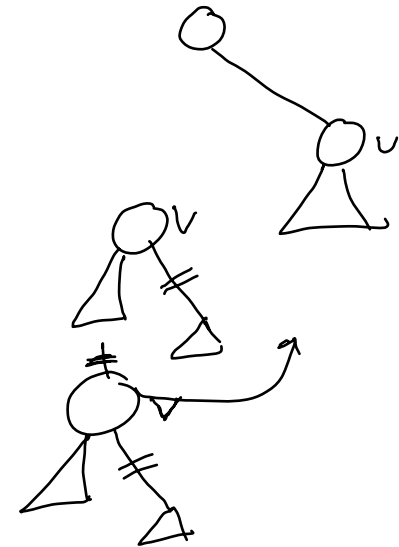
*Q.cut(v)*

```
1 if  $v \notin Q.rootlist$ 
2 then /* cut the link between  $v$  and its parent */
3      $rank(v.parent) = rank(v.parent) - 1$ 
4     remove  $v$  from  $v.parent.childlist$ 
5      $v.parent = null$ 
6     add  $v$  to  $Q.rootlist$ 
```

# Fibonacci heaps: marks

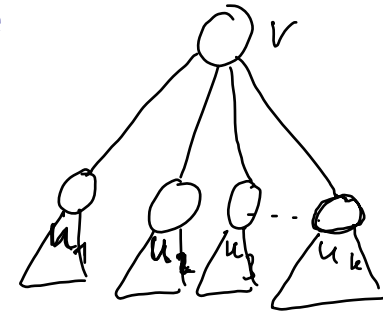
## History of a node: $\checkmark$

- |   |   |                              |
|---|---|------------------------------|
| (1) $v$ is being linked to a node<br>as a child | → | $v.mark = \underline{false}$ |
| ↓   |   |                              |
| (2) a child of $v$ is cut                       | → | $v.mark = \underline{true}$  |
| ↓   |   |                              |
| (3) a second child of $v$ is cut                | → | cut $v$                      |



The boolean value mark indicates whether node  $v$  has lost a child since the last time  $v$  was made the child of another node.

# Rank of the children of a node



## Lemma

Let  $v$  be a node in a Fibonacci-Heap  $Q$ . Let  $u_1, \dots, u_k$  denote the children of  $v$  in the order in which they were linked to  $v$ . Then:

$$\underline{\text{rank}(u_i) \geq i - 2.}$$

## Proof:

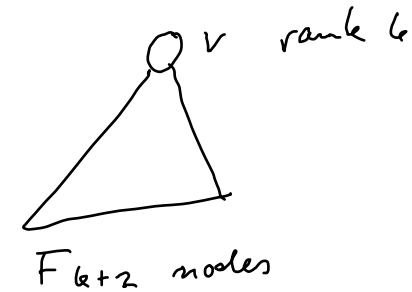
At the time when  $u_i$  was linked to  $v$ :

# children of  $v$  ( $\text{rank}(v)$ ):  $\geq i - 1$  ✓

# children of  $u_i$  ( $\text{rank}(u_i)$ ):  $\geq i - 1$  ✓

→ # children  $u_i$  may have lost: 1

# Maximum rank of a node



## Theorem

Let  $v$  be a node in a Fibonacci heap  $Q$ , and let  $\text{rank}(v) = k$ . Then  $v$  is the root of a subtree that has at least  $F_{k+2}$  nodes.

$$F_0 = 0, F_1 = 1, F_k = F_{k-1} + F_{k-2} \quad k \geq 2, \quad F_{k+2} \geq \phi^k \quad \phi = \frac{1 + \sqrt{5}}{2} \approx 1.618$$

The number of descendants of a node grows exponentially in the number of children.

## Implication:

The maximum rank  $k$  of any node  $v$  in a Fibonacci heap  $Q$  with  $n$  nodes satisfies:  $v$  root subtree with at least

$$\phi^k \leq n \quad \text{nodes}$$

$$\Rightarrow k \leq \log_{\phi} n = \frac{\log_2 n}{\log_2 \phi} \approx 1.41 \cdot \log_2 n \leq 2 \cdot \log_2 n.$$

$k \leq 2 \cdot \log_2 n$



# Maximum rank of a node

## Proof

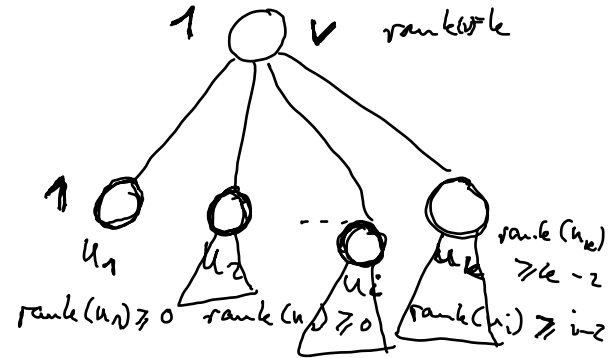
$S_k$  = minimum possible size of a subtree whose root has rank  $k$



(1) There is:

$$S_k \geq 2 + \sum_{i=0}^{k-2} S_i \quad \text{for } k \geq 2 \quad (1)$$

Lemma:



(2) Fibonacci numbers:

$$\begin{aligned}
 F_{k+2} &= 1 + \sum_{i=0}^k F_i \\
 &= 1 + F_0 + F_1 + \dots + F_k
 \end{aligned}$$

(1) + (2) + induction  $\rightarrow S_k \geq F_{k+2}$

$k=0: S_0 = 1 \geq F_2$   
 $k=1: S_1 = 2 \geq F_3$

$$\begin{aligned}
 S_k &\geq 2 + \sum_{i=0}^{k-2} S_i \geq 2 + \sum_{i=0}^{k-2} F_{i+2} \\
 &= 1 + F_0 + F_1 + \sum_{i=2}^k F_i = F_{k+2}
 \end{aligned}$$

(2)

$$\begin{aligned}
 k=0: F_2 &= 1 + F_0 = F_1 + F_0 \\
 k=1: F_3 &= 1 + F_0 + F_1 = 2 \\
 k: F_{k+2} &= F_{k+1} + F_k \\
 &= F_{k+1} + 1 + F_0 + F_1 + \dots + F_{k-2} \\
 &= F_k + F_{k-1} + 1 + F_0 + \dots + F_{k-2} \\
 &= 1 + F_0 + \dots + F_{k-2} + F_{k-1} + F_k
 \end{aligned}$$

# Analysis of Fibonacci heaps

Potential method to analyze Fibonacci heap operations.

Potential  $\Phi_Q$  of Fibonacci heap  $Q$ :

$$\Phi_Q = r_Q + 2 \cdot m_Q$$

where

$r_Q$  = number of nodes in  $Q.rootlist$

$m_Q$  = number of all marked nodes in  $Q$ ,  
that are not in the root list.



# Amortized analysis

Amortized cost  $a_i$  of the  $i$ -th operation:

$$\begin{aligned} a_i &= t_i + \Phi_i - \Phi_{i-1} \\ &= t_i + (r_i - r_{i-1}) + 2(m_i - m_{i-1}) \end{aligned}$$

*actual cost*  
*change in potential*

# Analysis of 'insert'

*insert*

$$t_i = 1$$

*generate new node, insert into rootlist*

$$r_i - r_{i-1} = 1$$

*# nodes in rootlist increases by 1.*

$$m_i - m_{i-1} = 0$$

$$a_i = 1 + 1 + 0 = O(1) \quad \checkmark$$

$\ll$

$$t_i + (r_i - r_{i-1}) + 2 \cdot (m_i - m_{i-1})$$

# Analysis of 'deletemin'

deletemin:

$$t_i = r_{i-1} + 2 \log n$$

$$r_i - r_{i-1} \leq 2 \log n - r_{i-1}$$

$$m_i - m_{i-1} \leq 0$$

in consolidate: we traverse the rootlist and each node gets linked as a child to some other node at most once. We traverse the new rootlist again for finding the new min.

$$r_i \leq 2 \log n$$

$$a_i \leq \overbrace{r_{i-1}}^{t_i} + 2 \log n + \overbrace{2 \log n - r_{i-1}}^{r_i - r_{i-1} + 2 \cdot (m_i - m_{i-1})} + 0$$

$$= O(\log n)$$

# Analysis of 'decreasekey'

## decreasekey:

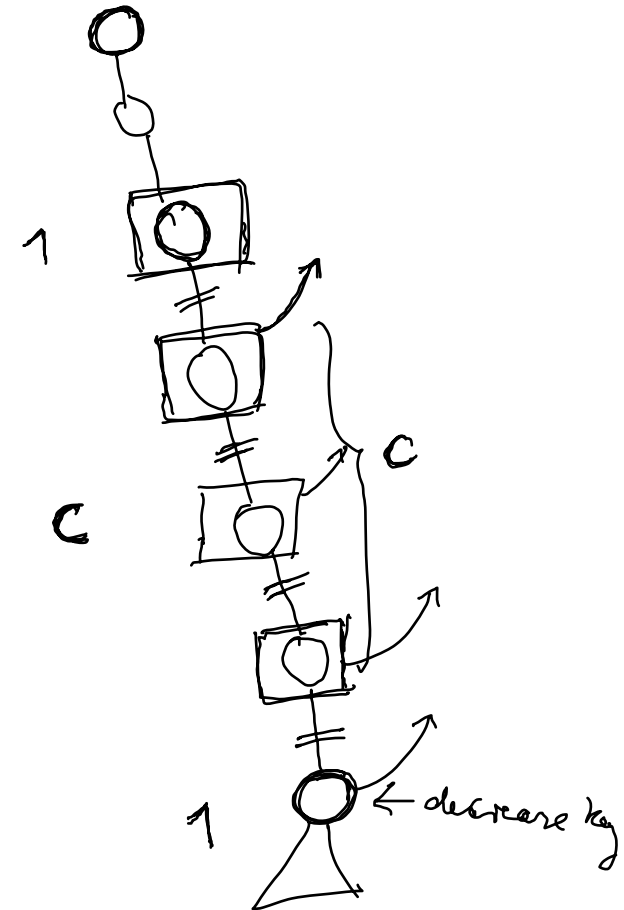
$c$ : # marked nodes on the path from the node where decreasekey happens to root

$$t_i = c + 2$$

$$r_i - r_{i-1} = c + 1$$

$$m_i - m_{i-1} \leq -c + 1$$

$$\begin{aligned}
 a_i &\leq \underbrace{c+2}_{t_i} + \underbrace{c+1}_{r_i - r_{i-1}} + 2 \cdot \underbrace{(-c+1)}_{m_i - m_{i-1}} \\
 &= 2 \cdot c + 3 + 2 \cdot (-c + 1) = 5 \\
 &= O(1)
 \end{aligned}$$



# Priority queues: comparison

	List	Heap	Bin. – Q.	Fib.-Hp.
insert	$O(1)$	$O(\log n)$	$O(\log n)$	$O(1)$
min	$O(n)$	$O(1)$	$O(\log n)$	$O(1)$
delete-min	$O(n)$	$O(\log n)$	$O(\log n)$	$O(\log n)^*$
meld ( $m \leq n$ )	$O(1)$	$O(n)$ or $O(m \log n)$	$O(\log n)$	$O(1)$
decr.-key	$O(1)$	$O(\log n)$	$O(\log n)$	$O(1)^*$

\* = amortized cost