



# Algorithms Theory

## 11 – Shortest Paths

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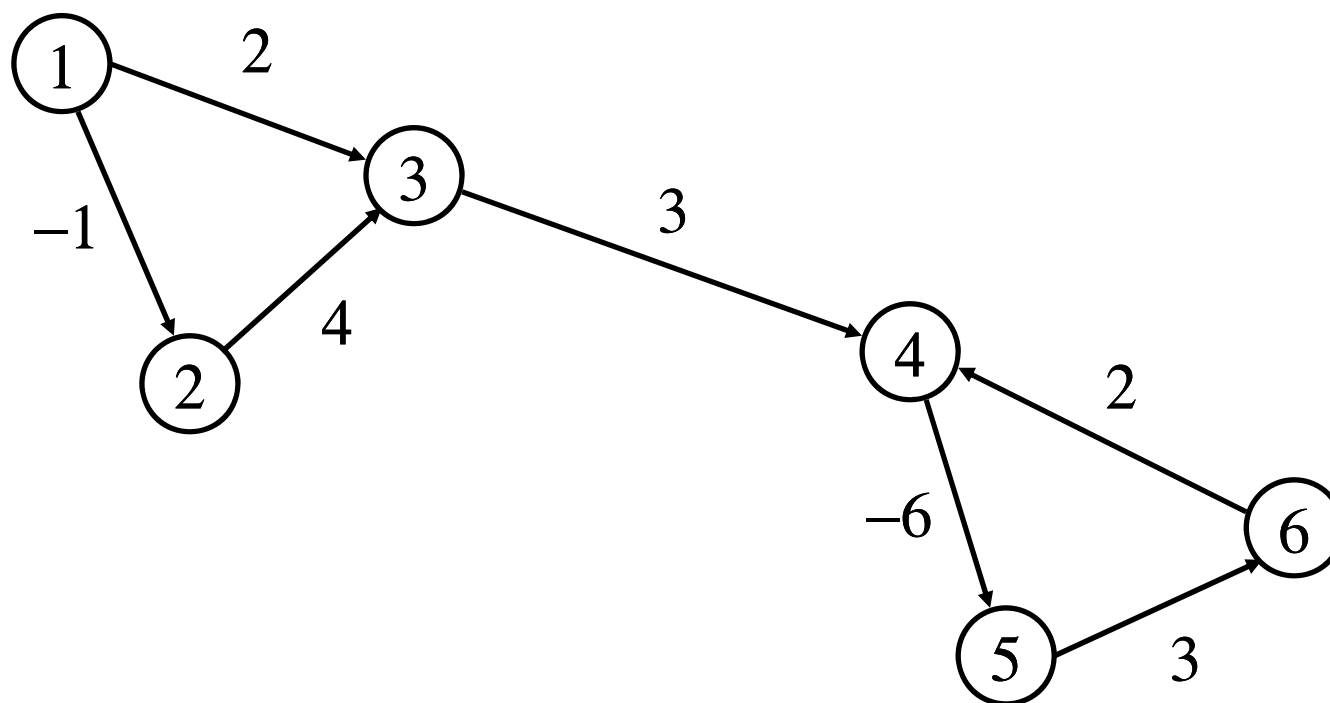
Wed, 25.1, No lecture

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# 1. Shortest-paths problem

Directed graph  $G = (V, E)$

Cost function  $c: E \rightarrow R$



# Distance between two vertices

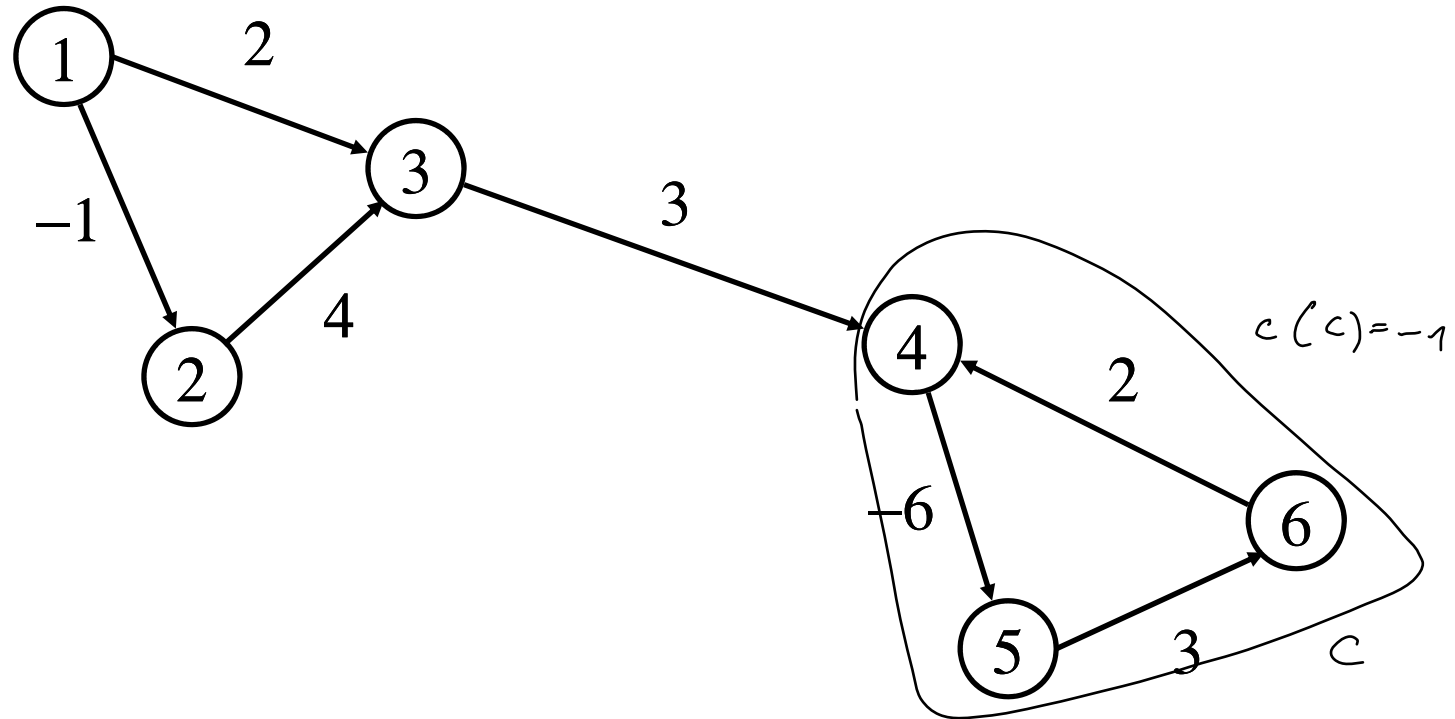
Cost of a path  $P = v_0, v_1, \dots, v_l$  from  $u$  to  $v$ :  $v_i v_{i+1} \in E$

$$c(P) = \sum_{i=0}^{l-1} c(v_i, v_{i+1})$$

Distance between  $u$  and  $v$  (not always defined):

$$\text{dist}(u, v) = \inf \{ c(P) \mid P \text{ is a path from } u \text{ to } v \}$$

# Example



$$\text{dist}(1,2) =$$

$$\text{dist}(1,3) =$$

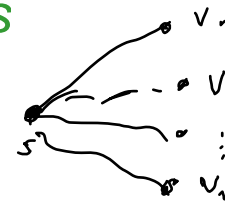
$$\text{dist}(3,1) = +\infty$$

$$\text{dist}(3,4) = -\infty$$

## 2. Single-source shortest paths problem

**Input:** network  $G = (V, E, c)$ ,  $c : E \rightarrow R$ , vertex  $s$

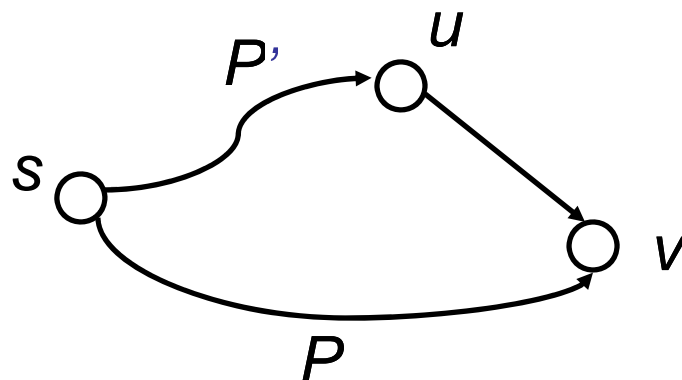
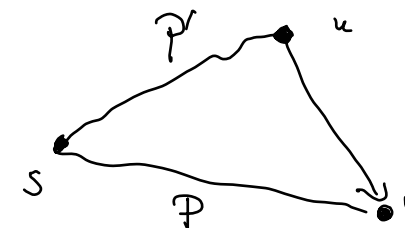
**Output:**  $dist(s, v)$  for all  $v \in V$



**Observation:** The function  $dist$  satisfies the triangle inequality.

For any edge  $(u, v) \in E$ :

$$dist(s, v) \leq dist(s, u) + c(u, v)$$



$P$  = shortest path from  $s$  to  $v$

$P'$  = shortest path from  $s$  to  $u$

# Greedy approach to an algorithm

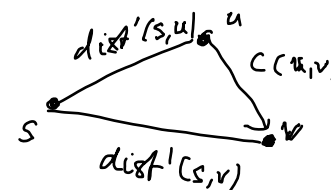
1. Overestimate the function  $dist$

$$dist'(s, v) = \begin{cases} 0 & \text{if } v = s \\ \infty & \text{if } v \neq s \end{cases}$$

2. While there exists an edge  $e = (u, v)$  with

$$dist'(s, v) > dist'(s, u) + c(u, v)$$

set  $dist(s, v) \leftarrow dist(s, u) + c(u, v)$



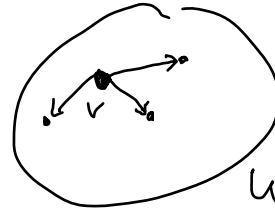
# Generic algorithm

1.  $\text{DIST}[s] \leftarrow 0;$
2. **for all**  $v \in V \setminus \{s\}$  **do**  $\text{DIST}[v] \leftarrow \infty$  **endfor;** }
3. **while**  $\exists e = (u, v) \in E$  with  $\text{DIST}[v] > \text{DIST}[u] + c(u, v)$  **do**
4.       Choose such an edge  $e = (u, v);$
5.        $\text{DIST}[v] \leftarrow \text{DIST}[u] + c(u, v);$      *restore triangle ineq.*
6. **endwhile;**

## Questions:

1. How can we efficiently check in line 3 if the triangle inequality is violated?
2. Which edge shall we choose in line 4?

# Solution



Maintain a **set  $U$**  of all those vertices that might have an outgoing edge violating the **triangle inequality**.

- Initialize  $U = \{s\}$
- Add vertex  $v$  to  $U$  whenever  $\text{DIST}[v]$  decreases.

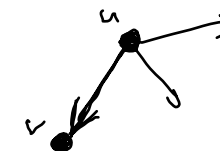
$$\text{DIST}[w] \leq \text{DIST}[v] + c(v, w)$$

1. Check if the triangle inequality is violated:  $U \neq \emptyset$  ?
2. Choose a **vertex from  $U$**  and restore the triangle inequality for all **outgoing edges** (edge relaxation).



# Refined algorithm

1.  $\text{DIST}[s] \leftarrow 0;$
2. **for all**  $v \in V \setminus \{s\}$  **do**  $\text{DIST}[v] \leftarrow \infty$  **endfor;** }
3.  $U \leftarrow \{s\};$
4. **while**  $U \neq \emptyset$  **do**
- 5.     Choose a vertex  $\overset{\curvearrowright}{u} \in U$  and delete it from  $U$ ;
6.     **for all**  $e = (\overset{\curvearrowright}{u}, v) \in E$  **do**
7.         **if**  $\text{DIST}[v] > \text{DIST}[u] + c(u, v)$  **then**
8.              $\text{DIST}[v] \leftarrow \text{DIST}[u] + c(u, v);$   $\leftarrow$  restore  $\Delta$ -ineq.
9.              $U \leftarrow U \cup \{v\};$
10.         **endif;**
11.     **endfor;**
12. **endwhile;**





# Invariant for the DIST values

**Lemma 1:** For each vertex  $v \in V$  we have  $\text{DIST}[v] \geq \text{dist}(s, v)$ .

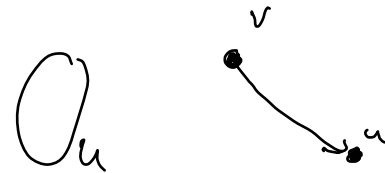
**Proof:** (by contradiction)

Let  $v$  be the first vertex for which the relaxation of an edge  $(u, v)$  yields  $\text{DIST}[v] < \text{dist}(s, v)$ .

Then:

$$\text{DIST}[u] + c(u, v) = \text{DIST}[v] < \text{dist}(s, v) \leq \text{dist}(s, u) + c(u, v)$$

# Important properties



## Lemma 2:

a) If  $v \notin U$ , then for all  $(v,w) \in E$ :  $\text{DIST}[w] \leq \text{DIST}[v] + c(v,w)$

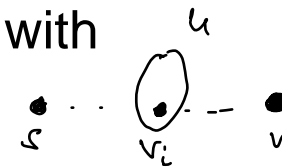
*U serves its purpose.*

b) Let  $s = v_0, v_1, \dots, v_l = v$  be a shortest path from  $s$  to  $v$ . *l finite*

If  $\text{DIST}[v] > \text{dist}(s,v)$ , then there exists  $v_i, 0 \leq i \leq l-1$ , with

$v_i \in U$  and  $\text{DIST}[v_i] = \text{dist}(s,v_i)$ .

*$\leadsto U \neq \emptyset \Rightarrow \text{Alg. has not yet terminated}$*



c) If  $G$  has no negative-cost cycles and  $\text{DIST}[v] > \text{dist}(s,v)$  for any

$v \in V$ , then there exists a  $u \in U$  with  $\text{DIST}[u] = \text{dist}(s,u)$ .

*Shortest path ~~is~~ length finite  $\leadsto$  set  $u = v_i$ .*

d) If in line 5 we always choose  $u \in U$  with  $\text{DIST}[u] = \text{dist}(s,u)$ ,

then the while-loop is executed only once per vertex.

*Such a vertex  $u$  leaves  $U$  but never re-enters.*

*Lemma 1.*

# Proof of Lemma 2

a) Induction on the number  $i$  of executions of while-loop

$i = 0$ :

vertices  $v \neq s$  are not in  $U$

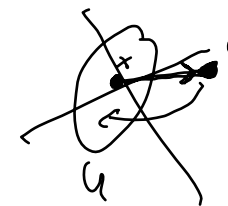
$$\text{DIST}[w] \leq \text{DIST}[v] + c(v, w)$$

# Proof of Lemma 2

$i > 0$ : Assume that  $\Delta$  statement holds before  $i$ -th execution of the while loop. We show that it holds afterwards.

$v \notin U$  after  $i$ -th execution of while loop

~~Case 1~~ Case 1:  $v \in U$  before  $i$ -th exe.



$$\text{DIST}[w] \leq \text{DIST}[v] + c(v,w)$$

↑ does not change  
↑ can only decrease

$\Rightarrow \Delta$  for  $(v,w)$  holds after  $i$ -th exe.

Case 2:  $v \in U$  before  $i$ -th exe.

For each  $(v,w)$  ~~we~~ which  $\text{DIST}[w] > \text{DIST}[v] + c(v,w)$

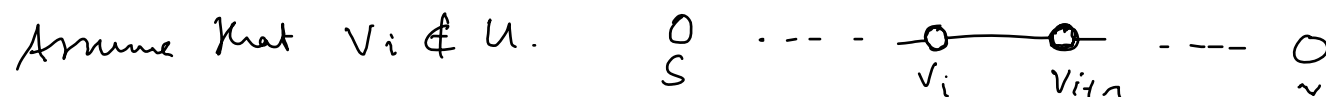
we set  $\text{DIST}[w] = \text{DIST}[v] + c(v,w)$

And we remove  $v$  from  $U$ .

□

# Proof of Lemma 2

b)  $\mathcal{P}_i: S = v_0, v_1, \dots, v_\ell = v$   
 Let  $i$  be max. with  $\text{DIST}[v_i] = \text{dist}(S, v_i)$ .  
 $i=0$  satisfies this condition because  $\ell < \infty$ , i.e.  $S$  is not on a  
 neg. cost cycle. Thus  $i$  exists



$$\begin{aligned} \text{DIST}[v_{i+1}] &\stackrel{(a)}{\leq} \text{DIST}[v_i] + c(v_i, v_{i+1}) \\ &= \text{dist}(S, v_i) + c(v_i, v_{i+1}) \\ &= \text{dist}(S, v_{i+1}) \end{aligned}$$

$\Rightarrow \text{DIST}[v_{i+1}] = \text{dist}(S, v_{i+1}) \quad \Leftarrow$   
 Contradicts maximality of  $i$ .