



## Algorithms and Datastructures

### Summer Term 2021

### Exercise Sheet 3

#### Exercise 1: Bucket Sort

*Bucketsort* is an algorithm to stably sort an array  $A[0..n-1]$  of  $n$  elements where the sorting keys of the elements take values in  $\{0, \dots, k\}$ . That is, we have a function `key` assigning a key  $\text{key}(x) \in \{0, \dots, k\}$  to each  $x \in A$ .

The algorithm works as follows. First we construct an array  $B[0..k]$  consisting of (initially empty) FIFO queues. That is, for each  $i \in \{0, \dots, k\}$ ,  $B[i]$  is a FIFO queue. Then we iterate through  $A$  and for each  $j \in \{0, \dots, n-1\}$  we attach  $A[j]$  to the queue  $B[\text{key}(A[j])]$  using the function `enqueue`.

Finally we empty all queues  $B[0], \dots, B[k]$  using `dequeue` and write the returned values back to  $A$ , one after the other. After that,  $A$  is sorted with respect to `key` and elements  $x, y \in A$  with  $\text{key}(x) = \text{key}(y)$  are in the same order as before.

Implement *Bucketsort* based on this description. You can use the template `BucketSort.py` which uses an implementation of FIFO queues that are available in `Queue.py` and `ListElement.py`.<sup>1</sup> An example of usage of this template is the following:

```
from Queue import Queue
from ListElement import ListElement
q = Queue()
q.enqueue(ListElement(5))
q.enqueue(ListElement(17))
q.enqueue(ListElement(8))
while not q.is_empty():
    print(q.dequeue().get_key())
```

This would print the numbers 5, 17, 8 on three separate lines.

#### Solution:

```
def bucket_sort(array, k, key=lambda x: x):
    """
    Implements the bucket sort algorithm to sort
    data elements using a key function to
    assign sorting keys to data elements

    Args:
    array: array of data elements
    k: largest key
    key: a function mapping data elements to values
    in range(k+1) (identity function as default)
```

---

<sup>1</sup>Remember to make unit-tests and to add comments to your source code.

```

>>> bucket_sort([210,121,203,420,307],2,lambda x: int(x / 10) % 10)
[203, 307, 210, 121, 420]
>>> bucket_sort([], 10)
[]
>>> bucket_sort([10-i for i in range(10)], 10)
[1, 2, 3, 4, 5, 6, 7, 8, 9, 10]
,,,

# add your code here
bucket = [Queue() for i in range(k+1)]
for i in range(len(array)):
    bucket[key(array[i])].enqueue(ListElement(array[i]))
i = 0
for j in range(k+1):
    while not bucket[j].is_empty():
        array[i] = bucket[j].dequeue().get_key()
        i += 1
return array

```

## Exercise 2: Radix Sort

Assume we want to sort an array  $A[0..n-1]$  of size  $n$  containing integer values from  $\{0, \dots, k\}$  for some  $k \in \mathbb{N}$ . We describe the algorithm *Radixsort* which uses *BucketSort* as a subroutine.

Let  $m = \lfloor \log_b k \rfloor$ . We assume each key  $x \in A$  is given in base- $b$  representation, i.e.,  $x = \sum_{i=0}^m c_i \cdot b^i$  for some  $c_i \in \{0, \dots, b-1\}$ . First we sort the keys according to  $c_0$  using *BucketSort*, afterwards we sort according to  $c_1$  and so on.<sup>2</sup>

- Implement *Radixsort* based on this description. You may assume  $b = 10$ , i.e., your algorithm should work for arrays containing numbers in base-10 representation. Use *Bucketsort* as a subroutine.
- Compare the runtimes of *Bucketsort* and *Radixsort*. For both algorithms and each  $k \in \{i \cdot 10^4 \mid i = 1, \dots, 50\}$ , use an array of size  $10^4$  with randomly chosen keys from  $\{0, \dots, k\}$  as input and plot the runtimes. Shortly discuss your results.
- Explain the asymptotic runtime of your implementations of *Bucketsort* and *Radixsort* depending on  $n$  and  $k$ .

### Solution:

```

(a) def radix_sort(array, k):
    ,,,

    Implements the radix sort algorithm to sort
        data elements with keys in range(k+1)
    Args:
        array: array of data elements
        k: largest key
>>> radix_sort([123,1111,789,456,0,12,13,247],2000)
[0, 12, 13, 123, 247, 456, 789, 1111]
>>> radix_sort([1000-i for i in range(0,1000)],1000) == \
    [i for i in range(1,1001)]
    True
    ,,,

    m = math.ceil(math.log(k, 10))

```

<sup>2</sup>The  $i$ -th digit  $c_i$  of a number  $x \in \mathbb{N}$  in base- $b$  representation (i.e.,  $x = c_0 \cdot b^0 + c_1 \cdot b^1 + c_2 \cdot b^2 + \dots$ ), can be obtained via the formula  $c_i = (x \bmod b^{i+1}) \operatorname{div} b^i$ , where  $\bmod$  is the modulo operation and  $\operatorname{div}$  the integer division.

```

for i in range(m+1):
    key = lambda x: (x % 10**(i+1)) // 10**i
    BucketSort.bucket_sort(array, 10, key)
return array

```

(b) See Figure 1. We see that *Bucketsort* is linear in  $k$ . For *Radixsort* the situation is not that clear. At the first sight, the runtime could be constant, but upon closer examination (see Figure 2) we see a step at  $k = 10^5$ . The reason is that *Radixsort* calls *Bucketsort* for each digit in the input and the number of these digits (and therefore the calls of *Bucketsort*) is increased from 5 to 6 at  $k = 10^5$ .

(c) *Bucketsort* goes through  $A$  twice, once to write all values from  $A$  into the buckets and another time to write the values back to  $A$ . This takes time  $\mathcal{O}(n)$  as writing a value into a bucket and from a bucket back to  $A$  costs  $\mathcal{O}(1)$ . Additionally, *Bucketsort* needs to allocate  $k$  empty lists and write it into an array of size  $k$  which takes time  $\mathcal{O}(k)$ . Hence, the runtime is  $\mathcal{O}(n + k)$ .

*RadixSort* calls *Bucketsort* for each digit. The keys have  $m = \mathcal{O}(\log k)$  digits, so we call *Bucketsort*  $\mathcal{O}(\log k)$  times. One run of *Bucketsort* takes  $\mathcal{O}(n)$  here as the keys according to which *Bucketsort* sorts the elements are from the range  $\{0, \dots, 9\}$ . The overall runtime is therefore  $\mathcal{O}(n \log k)$ .

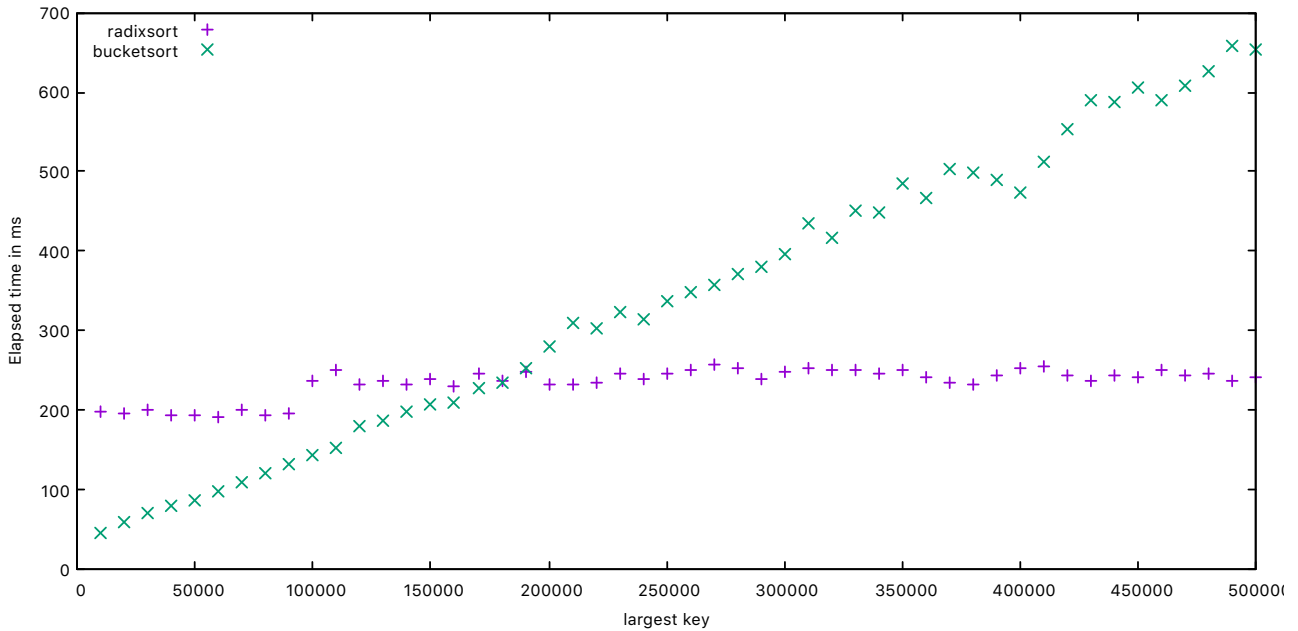


Figure 1: Plot for exercise 2 b).

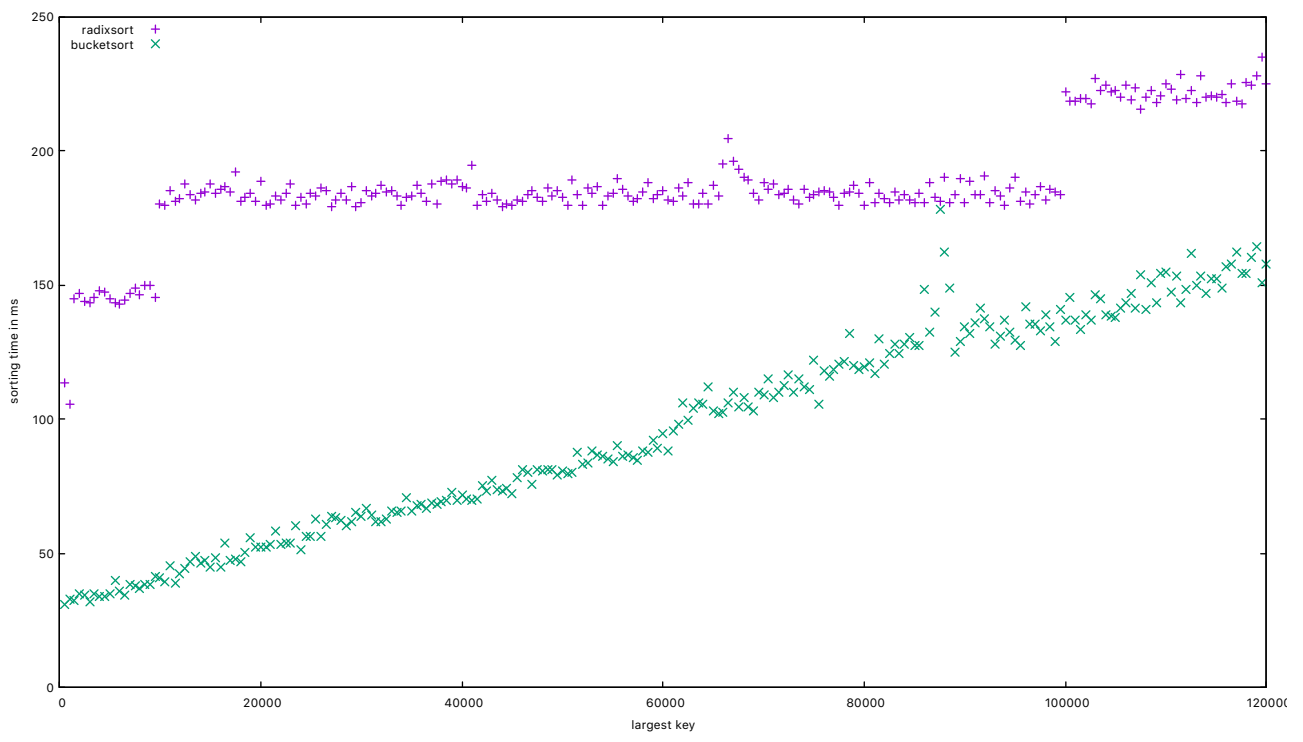


Figure 2: Considering a larger range of keys to visualize the second step at  $10^6$ .