



## Algorithms and Data Structures Summer Term 2021 Sample Solution Exercise Sheet 9

### Exercise 1: Minimum Spanning Trees

Let  $G = (V, E, w)$  be an *undirected, connected, weighted* graph with pairwise distinct edge weights.

- Show that  $G$  has a *unique* minimum spanning tree.
- Show that the minimum spanning tree  $T'$  of  $G$  is obtained by the following construction:

*Start with  $T' = \emptyset$ . For each cut in  $G$ , add the lightest cut edge to  $T'$ .*

### Sample Solution

- Let  $T$  and  $T'$  be two minimum spanning trees with edges  $e_1, \dots, e_{n-1}$  and  $e'_1, \dots, e'_{n-1}$ , sorted by increasing weight. Assume we have  $T \neq T'$ . Let  $j$  be the largest index for which  $e_j \neq e'_j$ . As the weights are pairwise distinct, we also have  $w(e_j) \neq w(e'_j)$ . W.l.o.g. let  $w(e_j) < w(e'_j)$ . The graph  $T' \setminus \{e'_j\}$  has two connected components with nodes  $S$  and  $V \setminus S$ . Let  $e_k$  be the edge in  $T$  connecting  $S$  and  $V \setminus S$ . As  $T'$  contains only one edge between  $S$  and  $V \setminus S$ , it must hold  $k \leq j$  (as  $e_k = e'_k$  for  $k > j$ ). As  $(T' \setminus \{e'_j\}) \cup \{e_k\}$  is a spanning tree and  $w(e'_j) > w(e_j) \geq w(e_k)$ , it has a smaller weight than  $T'$ , contradicting that  $T'$  is minimal.
- Let  $T$  be the MST of  $G$  and  $T'$  the set containing the lightest cut edges.  
 $T' \subseteq T$ : Let  $s \in T'$ , i.e.,  $s$  is the lightest cut edge of a cut  $(S, V \setminus S)$  in  $G$ . Let  $e$  be the edge of  $T$  connecting  $S$  and  $V \setminus S$ . If  $e \neq s$ , then  $w(s) < w(e)$  and the spanning tree  $(T \setminus \{e\}) \cup \{s\}$  would have a smaller weight than  $T$ , contradicting that  $T$  is an MST. Hence we have  $e = s$  and thus  $s \in T$ .  
 $T \subseteq T'$ : Let  $e \in T$ . The graph  $T \setminus \{e\}$  has two connected components which define a cut in  $G$ . With an exchange argument as above one can show that  $e$  is the (unique) lightest cut edge of this cut, i.e., we have  $e \in T'$ .

### Exercise 2: Travelling Salesperson Problem

Let  $p_1, \dots, p_n \in \mathbb{R}^2$  be points in the euclidean plane. Point  $p_i$  represents the position of city  $i$ . The distance between cities  $i$  and  $j$  is defined as the euclidean distance between the points  $p_i$  and  $p_j$ . A *tour* is a sequence of cities  $(i_1, \dots, i_n)$  such that each city is visited exactly once (formally, it is a permutation of  $\{1, \dots, n\}$ ). The task is to find a tour that minimizes the travelled distance. This problem is probably costly to solve.<sup>1</sup> We therefore aim for a tour that is at most twice as long as a minimal tour.

<sup>1</sup>The Travelling Salesperson Problem is in the class of  $\mathcal{NP}$ -complete problems for which it is assumed that no algorithm with polynomial runtime exists. However, this has not been proven yet.

We can model this as a graph problem, using the graph  $G = (V, E, w)$  with  $V = \{p_1, \dots, p_n\}$  and  $w(p_i, p_j) := \|p_i - p_j\|_2$ . Hence,  $G$  is undirected and complete and fulfills the triangle inequality, i.e., for any nodes  $x, y, z$  we have  $w(\{x, z\}) \leq w(\{x, y\}) + w(\{y, z\})$ . We aim for a tour  $(i_1, \dots, i_n)$  such that  $w(p_{i_n}, p_{i_1}) + \sum_{j=1}^{n-1} w(p_{i_j}, p_{i_{j+1}})$  is small.

Let  $G$  be a weighted, undirected, complete graph that fulfills the triangle inequality. Show that the sequence of nodes obtained by a pre-order traversal of a minimum spanning tree (starting at an arbitrary root) is a tour that is at most twice as long as a minimal tour.

## Sample Solution

Let  $R = (i_1, \dots, i_n)$  be a minimal tour and  $w(R) := w(p_{i_n}, p_{i_1}) + \sum_{j=1}^{n-1} w(p_{i_j}, p_{i_{j+1}})$ . Let  $T$  be an MST,  $w(T) := \sum_{e \in T} w(e)$  its weight and  $\mathcal{P}_T$  its pre-order sequence of nodes. As the graph is complete,  $\mathcal{P}_T$  is also a tour.

We add points to  $\mathcal{P}_T$  as follows: If two subsequent nodes  $u$  and  $v$  are not connected in  $T$  by a tree edge, we add between  $u$  and  $v$  all nodes on the shortest path from  $u$  to  $v$  in  $T$  (these are all nodes from  $u$  to the first common ancestor  $w$  and from there to  $v$ ). We write  $\mathcal{P}'_T$  for the sequence that we obtain (this is formally not a tour as points are visited more than once).

In  $\mathcal{P}'_T$ , two subsequent nodes are neighbors in  $T$ , so we can consider this sequence as a sequence of edges in  $T$ . Each edge from  $T$  is contained in  $\mathcal{P}'_T$  exactly twice (if you go from the last point back to the root). Thus we have  $w(\mathcal{P}'_T) = 2 \sum_{e \in T} w(e)$ . The triangle inequality implies  $w(\mathcal{P}_T) \leq w(\mathcal{P}'_T)$  and hence  $w(\mathcal{P}_T) \leq 2 \sum_{e \in T} w(e)$ .

The minimal tour  $R$  defines a spanning tree  $T_R$  by taking the edges between subsequent nodes in  $R$ . As  $T$  is the minimum spanning tree we have  $w(T) \leq w(T_R) \leq w(T_R) + w(p_{i_n}, p_{i_1}) = w(R)$  and hence  $w(\mathcal{P}_T) \leq 2 \cdot w(R)$ .

*Remark: The above argumentation also works for the post-order traversal. However, if you want the tour to start at a predefined point, it is easiest to use this point as the root of a pre-order traversal.*