



# Theory of Distributed Systems

## Exercise Sheet 12

Due: Wednesday, 26th of July 2023, 12:00 noon

### Exercise 1: Aggregation in the MPC Model (10 Points)

Assume you are given a number of  $M \in O\left(\frac{N}{S} \log_S N\right)$  machines, where  $N$  is the number of *aggregation messages* that are collectively stored by the machines  $M_i$ ,  $i \in \{1, \dots, M\}$ . Each machine  $M_i$  has a memory large enough to store  $S$  such messages. By definition of the MPC model every machine can send and receive at most  $S$  aggregation messages per round.

Each aggregation message  $m$  is a triple consisting of the aggregation value  $v_m$ , the target machine  $t_m$  and an aggregation group  $g_m$ . All messages in the same group go to the same target and each machine is the target of not more than  $S/2$  aggregation groups. The *aggregation problem* is solved when every target machine  $t_m$  learns an aggregation message  $m$  that has *minimal* value among all aggregation messages of its aggregation group  $g_m$ .

In the following we give an algorithm that solves the aggregation problem under the assumptions that the initial aggregation messages are stored on  $2^{\lceil \frac{N}{S} \rceil}$  machines and none of those machines is a target of an aggregation message. We also assume that there are no more than  $2^{\lceil \frac{N}{S} \rceil}$  target machines. Further, assume that we have sufficient long string of “public random bits”, which can be used to make random decisions that are the same for all machines, (since all machines utilize the same random bit string). Your task is to show that in the given algorithm no machine sends or receives more than  $S/2$  messages per round *in expectation*. After how many rounds will the algorithm terminate? Explain!

**Algorithm:** We arrange the  $O\left(\frac{N}{S} \log_S N\right)$  machines into  $\ell := 1 + \lceil \log_{S/2} N \rceil \in O(\log_S N)$  levels  $L_1, \dots, L_\ell$  of  $2^{\lceil \frac{N}{S} \rceil}$  machines each, which is abstractly shown in Figure 1. We will explain the exact value of  $\ell$  later in the analysis. Furthermore, we arrange the levels such that initially all messages are held only by machines in  $L_1$  (“message sources”), and the targets of the aggregation messages are in level  $L_\ell$ .

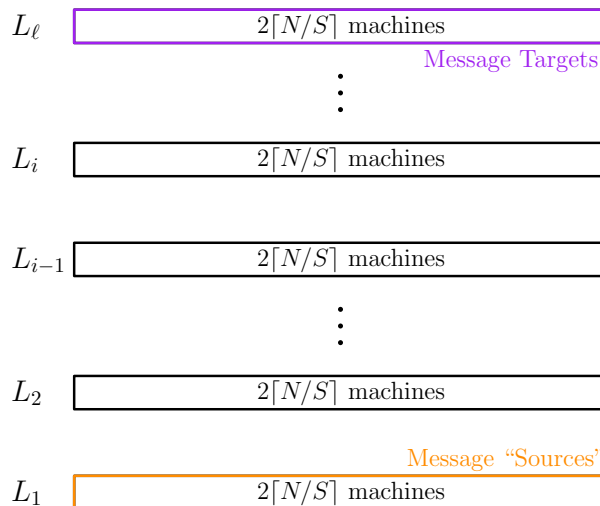


Figure 1: Arrangement of machines into levels.

**Outline:** The idea of solving the above aggregation problem is to establish aggregation trees between machines of successive levels. There will be one aggregation tree for each aggregation group with leaves in  $L_1$  and roots in  $L_\ell$ . The messages are then sent up the trees like in a convergecast. Machines will choose their according tree parents in the next level randomly, which ensures that no machine obtains too many messages *in expectation*.

**Aggregation trees on first level:** For level 1, we say that a machine in  $L_1$  that has a message  $m$  with the aggregation group  $g_m$ , participates in the aggregation tree of that group  $g_m$  (a given machine can participate in multiple aggregation groups, as it holds multiple messages).

For each aggregation group  $g$  the machines in  $L_1$  choose a random subset  $L_g^2$  from the next level  $L_2$  of size  $|L_g^2| = N \cdot (\frac{2}{5})^2$ . Note that all machines participating in the aggregation tree of group  $g$  can all agree on the same random set  $L_g^2$  using the public randomness.

Then each machine that takes part in group  $g$  picks a random parent node from  $L_g^2$ . Note that this random decision is now independent from the parent choice of other machines! By doing this for all machines in  $L_1$  and for aggregation groups, each machine in  $L_1$  will now have a parent node for each aggregation group it participates in, see Figure 2.

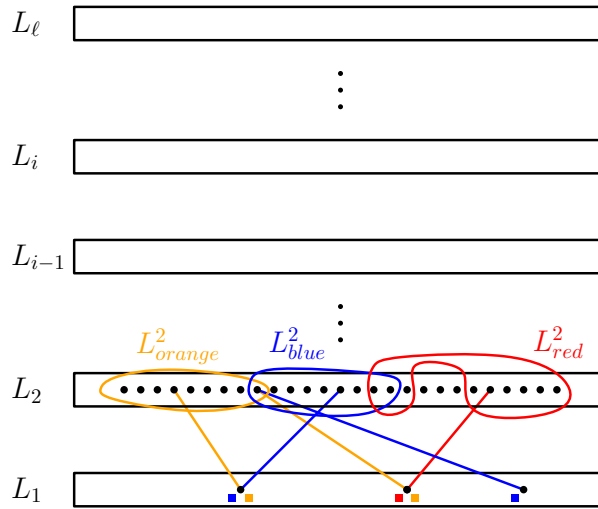


Figure 2: Three example machines in  $L_1$  that have messages (little colored boxes) from three aggregation groups (orange, blue, red). We determine random sets of machines from  $L_{orange}^2, L_{blue}^2, L_{red}^2$ . Each machine picks a random parent from the according random set for each group it participates in.

**Aggregation trees for subsequent levels:** The description above forms the base case above and now we describe how to connect  $L_{i-1}$  to level  $L_i$  for  $2 < i \leq \ell - 1$ . We say that a machine  $\mu \in L_{i-1}$  participates in aggregation group  $g$  if it is in the according set  $\mu \in L_g^{i-1}$ .

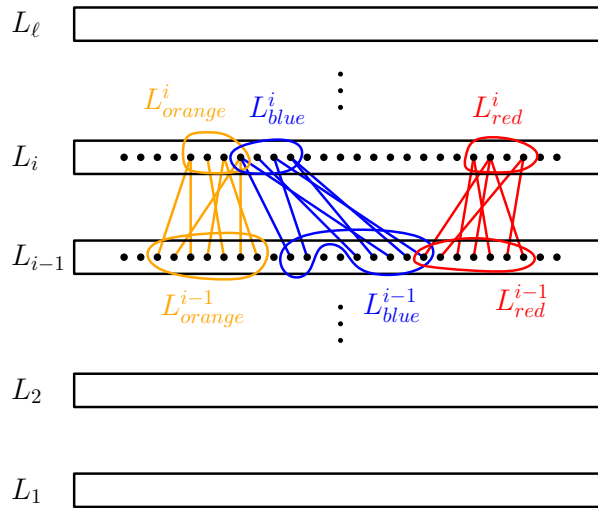


Figure 3: Nodes in  $L_g^{i-1}$  choose a parent uniformly at random from  $L_g^i$  ( $g = \text{orange, blue, red}$ ).

Similar as before, for each aggregation group  $g$  the machines in  $L_{i-1}$  choose a random subset  $L_g^i$  from the next level  $L_i$  of size  $|L_g^i| = N \cdot (\frac{2}{5})^i$ . Again, all machines agree on the same random sets  $L_g^i$  using public randomness. Let  $\mu$  be a machine that participates in aggregation group  $g$ . As before, it chooses a parent in  $L_g^i$  uniformly at random (and also independent from other machines), c.f. Figure 3.

We have to make a distinction for the last level  $\ell$ . There we simply connect all nodes in aggregation group  $L_g^{\ell-1}$  to the respective target  $t \in L_\ell$  (c.f., Figure 4).

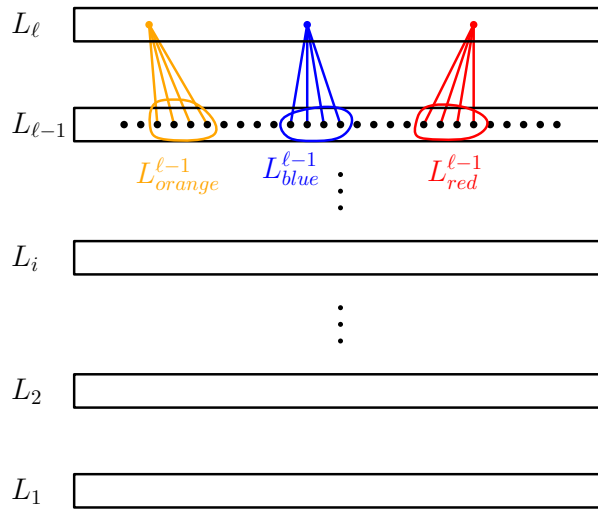


Figure 4: Nodes in  $L_g^{\ell-1}$  create an edge to the target machine of group  $g$  ( $g = \text{orange, blue, red}$ ).

**Aggregation Algorithm:** In round  $i$ , every machine in level  $i$  sends for every aggregation group  $g_m$  one message  $m$  with smallest value  $v_m$  among all messages that this machine has from that group  $g_m$  to its parent in  $L_{g_m}^{i+1}$ .

## Exercise 2: Implement a Phase of Borůvka's Algorithm (10 Points)

In class, we sketched how to implement one phase of Borůvka's MST algorithm in the strongly sublinear regime  $S = n^\alpha$  for some constant  $0 < \alpha < 1$ . Argue in more detail how this can be done in  $O(1)$  rounds, given that we can solve the above aggregation problem.