

Theoretical Computer Science - Bridging Course Sample Solution Exercise Sheet 11

Due: Tuesday, 15th of July 2025, 12:00 pm

Exercise 1: Construct Formulae

 $(1+1+1 \ Points)$

Let $S = \langle \{x, y, z\}, \emptyset, \emptyset, \{R\} \rangle$ be a signature. Translate the following sentences of first order formula over S into idiomatic English i.e. how would that native speaker read the formulas? Use R(x, y) as statement 'x is a part of y'.

- (a) $\exists x \forall y R(x, y)$
- (b) $\exists y \forall x R(x,y)$
- (c) $\forall x \forall y \exists z (R(x,z) \land R(y,z))$

Sample Solution

Note that idiomatic English uses, contains, or denotes expressions that are natural to a native speaker. It does not contain variables. it might be said: The native speaker will then read the formulas as

- (a) Something is a part of everything.
- (b) Something has everything as a part.
- (c) For any two things, there is something of which they are both a part.

Exercise 2: FOL: Is it a model?

(2+3+3 Points)

Consider the following first order formulae

$$\varphi_1 := \forall x R(x, x)$$

$$\varphi_2 := \forall x \forall y \ R(x, y) \to (\exists z R(x, z) \land R(z, y))$$

$$\varphi_3 := \exists x \exists y \ (\neg R(x, y) \land \neg R(y, x))$$

over signature S where x, y, z are variable symbols and R is a binary predicate. Give an interpretation

- (a) I_1 which is a **model** of $\varphi_1 \wedge \varphi_2$.
- (b) I_2 which is **no model** of $\varphi_1 \wedge \varphi_2 \wedge \varphi_3$.
- (c) I_3 which is a **model** of $\varphi_1 \wedge \varphi_2 \wedge \varphi_3$.

Sample Solution

(a) Pick $I_1 := \langle \mathbb{R}, \cdot^{I_1} \rangle$ where $R^{I_1}(x, y) : \iff x \leq_{\mathbb{R}} y$.

This is a model because $\leq_{\mathbb{R}}$ is *reflexive*, therefore fulfills φ_1 . Moreover for every $x, y \in \mathbb{R}$ with $x \leq_{\mathbb{R}} y$ we can choose z := x, which fulfills $x \leq_{\mathbb{R}} z \wedge z \leq_{\mathbb{R}} y$. Thus φ_2 is also satisfied.

- (b) Let $U = \{1, 2, 3, 4, 5\}$ and P(U) be its power set. Pick $I_2 := \langle P(U), \cdot^I \rangle$ where $R^{I_2}(x, y) : \iff x \subset y$. This is not a model since it doesn't satisfy φ_1 , indeed no set is proper subset of itself.
- (c) Take two disjoint copies of \mathbb{R} and the standard $\leq_{\mathbb{R}}$ relation on each of them; if x and y are from different copies they are not related in \mathbb{R} . Formally let

$$I_3 := \langle \{(a,1) \mid a \in \mathbb{R}\} \dot{\cup} \{(a,2) \mid a \in \mathbb{R}\}, I_3 \rangle$$

where $R^{I_3}((a,g),(b,h)) \Leftrightarrow (g=h \text{ and } a \leq_{\mathbb{R}} b)$.

This is a model because $\leq_{\mathbb{R}}$ is reflexive, therefore I_3 fulfills φ_1 . Furthermore for every two x=(a,g) and y=(b,h) with $R^{I_3}((a,g),(b,h))$, i.e., g=h, we can choose z:=(a,g) which fulfills $R^{I_3}((a,g),(a,g)) \wedge R^{I_3}((a,g),(b,h))$. Thus φ_2 is also satisfied. φ_3 is also satisfied, e.g., (5,1) and (7,2) are incomparable, i.e., we have neither $R^{I_3}((5,1),(7,2))$ nor $R^{I_3}((7,2),(5,1))$

Exercise 3: FOL: Entailment

(3+3+3 Points)

Let φ, ψ be first order formulae over signature \mathcal{S} . Similar to propositional logic, in predicate logic we write $\varphi \models \psi$ if every model of φ is also a model for ψ . We write $\varphi \equiv \psi$ if both $\varphi \models \psi$ and $\psi \models \varphi$. A knowledge base KB is a set of formulae. A model of KB is model for all formulae in KB. We write $KB \models \varphi$ if all models of KB are models of φ . Show or disprove the following entailments.

- (a) $(\exists x \, R(x)) \land (\exists x \, P(x)) \land (\exists x \, T(x)) \models \exists x \, (R(x) \land P(x) \land T(x)).$
- (b) $(\forall x \forall y f(x, y) \doteq f(y, x)) \land (\forall x f(x, \mathbf{c}) \doteq x) \models \forall x f(\mathbf{c}, x) \doteq x.$
- (c) $(\forall x R(x,x)) \land (\forall x \forall y R(x,y) \land R(y,x) \rightarrow x \doteq y) \land (\forall x \forall y \forall z R(x,y) \land R(y,z) \rightarrow R(x,z))$ $\models \forall x \forall y R(x,y) \lor R(y,x).$

Hint: Consider order relations. E.g., $a \le b$ (a less-equal b) and a|b (a divides b).

Sample Solution

- (a) The stated entailment is false (it holds in the other direction though). In order to disprove it, we give a model for the left side which is not a model for the right side.
 - Let $I = \langle \{a,b\}, \cdot^I \rangle$ with $R^I = \{a\}$, $P^I = \{b\}$, and $T^I = \{a\}$. This makes the left side true since there exists an element x = a that makes R(x) and T(x) true and an element x = b that makes P(x) true (Note the brackets around the three \exists quantifiers which mean that the three elements need not necessarily be the same).
 - However $R(a) \wedge P(a) \wedge T(a) = T \wedge F \wedge T = F$ and $R(b) \wedge P(b) \wedge T(b) = F \wedge T \wedge F = F$ thus the right side is false (there exists no element which makes the three relations' symbols R, P, T true, since we tested all that are in the domain).
- (b) The stated entailment holds. We prove this by picking an arbitrary model (!) $I = \langle \mathcal{D}, \cdot^I \rangle$ of the left-hand formula. We show that I is a model for the right-hand formula, too. For that purpose let x be an arbitrary element from \mathcal{D} .
 - Since I is a model for the left side we already know $f(x, \mathbf{c}^I) \doteq x$. The first condition in the left formula encodes the commutative property. Since \mathbf{c}^I is also an element from the domain \mathcal{D} we know $f(x, \mathbf{c}^I) = f(\mathbf{c}^I, x)$ and thus $f(\mathbf{c}^I, x) \doteq x$. Since x was arbitrary we have $\forall x f(\mathbf{c}^I, x) \doteq x$.

(c) The formula on the left side encodes the properties of an *order relation*. The formula on the right side encodes the property of *totality* of an order, which means that every element is related to (read: can be compared with) every other element. However, in general an order relation does not need to be total (which is called a *partial order*).

The hint proposes two order relations, one of which is total over the domain of integers \mathbb{Z}^* (either $x \leq y$ or $x \leq y$ or $x \leq y$ or both) whereas the other is not (it may happen that neither $x \mid y$ nor $x \mid y$). Thus the logical entailment is false since with \mathbb{Z}^* and the 'divides'-relation we have a model of the left-hand formula which is no model of the right-hand one (it is not total).

We formalize this as follows. Let $I = \langle \mathbb{Z}^*, \cdot^I \rangle$ with $R^I := \{(x,y) \in \mathbb{Z}^* \mid x \text{ divides } y\}$. Obviously we have the reflexive property since $x \in \mathbb{Z}^*$ divides itself. If $x \in \mathbb{Z}^*$ divides $y \in \mathbb{Z}^*$ and $y \in \mathbb{Z}^*$ divides $z \in \mathbb{Z}^*$ then z also divides z which gives us transitivity. Finally, if z divides z and vice versa then z is multiple of z and vice versa which means that the multiplicand must in both cases be 1, thus both z and z are equal which gives us the antisymmetry property.

This means that I is a model of the left-hand formula. Now consider the two primes x=2 and y=3. By definition of prime numbers neither of the two can divide the other. Thus $\forall x \forall y \, R(x,y) \lor R(y,x)$ is false. Therefore I can be no model of the right-hand formula.