



# Algorithm Theory

## Exercise Sheet 12

Due: Friday, 30th of January, 2026, 10:00 am

### Exercise 1: $k$ -th smallest element

(10 Points)

Given a set  $S$  of  $n$  pairwise distinct numbers and a number  $k \in \{1, \dots, n\}$ , we want to define a function that returns the  $k^{\text{th}}$  smallest element of  $S$ .

Consider the following randomized divide and conquer algorithm.

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**Algorithm 1** `select`( $S, k$ )

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1: Choose a pivot  $x \in S$  uniformly at random
2:  $S^- = \emptyset$ 
3:  $S^+ = \emptyset$ 
4: for all  $y \in S \setminus \{x\}$  do
5:   if  $y < x$  then
6:      $S^- = S^- \cup \{y\}$ 
7:   else
8:      $S^+ = S^+ \cup \{y\}$ 
9: if  $|S^-| = k - 1$  then
10:  return  $x$ 
11: else
12:  if  $|S^-| \geq k$  then
13:    return select( $S^-, k$ )
14:  else
15:    if  $|S^-| < k - 1$  then
16:      return select( $S^+, k - |S^-| - 1$ )
```

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- (a) Shortly explain why `select`( $S, k$ ) is correct, i.e., returns the  $k^{\text{th}}$  smallest element of  $S$ , and explain the worst-case runtime. (3 Points)
- (b) What is the probability that both  $|S^+| \leq \frac{3}{4}|S|$  and  $|S^-| \leq \frac{3}{4}|S|$  (after one iteration)? Explain your answer. For simplicity, you may assume that  $|S|$  is a multiple of 4. (1 Point)
- (c) Give an upper bound on the expected runtime of `select`( $S, k$ ). Explain your answer. (3 Points)  
*Hint: Use (b).*
- (d) Show that `select`( $S, k$ ) terminates in time  $O(n \log n)$  with probability at least  $1 - \frac{1}{n}$ . (3 Points)  
*Hint: Use the following Chernoff's Bound: If  $X_1, \dots, X_n$  is a sequence of independent 0-1 random variables,  $X = \sum X_i$  and  $\mu = E[X]$ , then for any  $0 < \delta < 1$  we have*

$$\Pr(X \leq (1 - \delta)\mu) \leq e^{-\frac{\delta^2}{2}\mu}.$$

## Exercise 2: Randomized Coloring

(10 Points)

Let  $G = (V, E)$  be a simple, undirected graph with maximum degree  $\Delta$ . A (node) coloring of the graph is an assignment of colors to the nodes in a way that no two adjacent nodes are assigned with the same color. More formal: A coloring is a mapping  $\phi : V \rightarrow C$  of nodes in  $V$  to some color space  $C$  s.t.  $\phi(u) \neq \phi(v)$  if  $\{u, v\} \in E$ .

Consider Algorithm 2 to assign colors from the colors space  $\{1, 2, \dots, \Delta + 1\}$  to the nodes. Let  $L_v$  be the lists of **available** colors of  $v$ , that initially is set to the color space.

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### Algorithm 2 Randomized Coloring

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**Ensure:**  $\phi$  is a proper  $\Delta + 1$  coloring

- 1: Let  $L_v := \{1, 2, \dots, \Delta + 1\}$
  - 2: **for** each uncolored node  $v \in V$  in parallel **do**
  - 3:      $v$  becomes active with probability  $p = \frac{1}{2}$
  - 4:     **if**  $v$  is active **then**
  - 5:         Let  $v$  choose a color  $x_v \in L_v$  uniformly at random
  - 6:         **if** no neighbor  $u$  picked  $x_v$  as well **then**
  - 7:              $\phi(v) := x_v$  ▷  $v$  is colored now!
  - 8:     **if**  $v$  is still uncolored **then**
  - 9:         delete  $\phi(u)$  from  $L_v$  for all colored neighbors  $u$ . ▷ Update  $L_v$
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Note that in every iteration,  $|L_v|$  is larger than the number of uncolored neighbors of  $v$ .

- (a) Show that a node  $v$  that is still uncolored will be colored in the next iteration with probability at least  $1/4$ . (5 Points)  
*Hint: Assume  $v$  is active and has  $k$  uncolored neighbors. What is the probability that  $v$  gets colored?*
- (b) After how many iterations is a node  $v \in V$  colored in expectation? (2 Points)
- (c) Show that Algorithm 2 terminates in  $O(\log n)$  iterations **with high probability**.  
That is for a given constant  $c > 0$ , all nodes are colored within  $O(\log n)$  iterations with probability at least  $1 - \frac{1}{n^c}$ . (3 Points)  
*Hint: Use the result of (a) for tasks (b) and (c) even if you didn't manage to come up with a solution.*